

1 Department of Real Estate
2 320 W. 4th Street, Suite 350
3 Los Angeles, CA 90013-1105

4 Telephone: (213) 576-6982

FILED

DEC 18 2024

DEPT. OF REAL ESTATE

By 

7
8 **BEFORE THE DEPARTMENT OF REAL ESTATE**
9 **STATE OF CALIFORNIA**

10 * * *

11 In the Matter of the Application of

12 PATRICK JAMES TAFOYA,

13 Respondent.
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} No. H-42764 LA

} **STIPULATION AND WAIVER**

15 It is hereby stipulated by and between PATRICK JAMES TAFOYA
16 (“Respondent”), represented by Frank Buda, and the Complainant, acting by and through Steve
17 Chu, Attorney for the Department of Real Estate (“Department”), as follows for the purpose of
18 settling and disposing of the Statement of Issues filed on March 5, 2024, in this matter:

19 Respondent acknowledges that Respondent has received and read the Statement of
20 Issues and the Statement to Respondent filed by the Department in connection with Respondent’s
21 application for a real estate salesperson license. Respondent understands that the Real Estate
22 Commissioner (“Commissioner”) may hold a hearing on this Statement of Issues for the purpose of
23 requiring further proof of Respondent’s honesty and truthfulness and to prove other allegations
24 therein, or that she may in her discretion waive the hearing and grant Respondent a restricted real
25 estate salesperson license based upon this Stipulation and Waiver. Respondent also understands
26 that by filing the Statement of Issues in this matter the Commissioner is shifting the burden to
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1 Respondent to make a satisfactory showing that Respondent meets all the requirements for issuance
2 of a real estate salesperson license. Respondent further understands that by entering into this
3 Stipulation and Waiver, Respondent will be stipulating that the Commissioner has found that
4 Respondent has failed to make such a showing, thereby justifying the denial of the issuance to
5 Respondent of an unrestricted real estate salesperson license.

6 Respondent hereby admits that the allegations of the Statement of Issues filed
7 against Respondent are true and correct and requests that the Commissioner in her discretion issue
8 a restricted real estate salesperson license to Respondent under the authority of Section 10156.5 of
9 the Business and Professions Code ("the Code").

10 Respondent is aware that by signing this Stipulation and Waiver, Respondent is
11 waiving Respondent's right to a hearing and the opportunity to present evidence at the hearing to
12 establish Respondent's rehabilitation in order to obtain an unrestricted real estate salesperson
13 license if this Stipulation and Waiver is accepted by the Commissioner. However, Respondent is
14 not waiving Respondent's right to a hearing and to further proceedings to obtain a restricted or
15 unrestricted license if this Stipulation and Waiver is not accepted by the Commissioner.

16 Respondent agrees that by signing this Stipulation and Waiver, the conditions,
17 limitations, and restrictions imposed on Respondent's restricted license, identified below, may be
18 removed only by filing a Petition for Removal of Restrictions ("Petition") with the Commissioner,
19 and that Respondent's Petition must follow the procedures set forth in Government Code
20 Section 11522.

21 Respondent further understands that the restricted license issued to Respondent shall
22 be subject to all of the provisions of Section 10156.7 of the Code and to the following limitations,
23 conditions and restrictions imposed under authority of Section 10156.6 of the Code:

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1. The restricted license shall not confer any property right in the privileges to be exercised including the right of renewal, and the Commissioner may by appropriate order suspend the right to exercise any privileges granted under the restricted license in the event of:
 - a. Respondent's conviction (including a plea of nolo contendere) of a crime which bears a substantial relationship to Respondent's fitness or capacity as a real estate licensee; or
 - b. The receipt of evidence that Respondent has violated provisions of the California Real Estate Law, the Subdivided Lands Law, Regulations of the Commissioner, or conditions attaching to the restricted license.
2. Respondent shall not be eligible to petition for the issuance of an unrestricted real estate license nor the removal of any of the conditions, limitations, or restrictions attaching to the restricted license until two (2) years have elapsed from the date of issuance of the restricted license to Respondent. Respondent shall not be eligible to apply for any unrestricted licenses until all restrictions attaching to the license have been removed.
3. With the application for license, or with the application for transfer to a new responsible broker, Respondent shall submit a statement signed by the prospective responsible broker on a form approved by the Department wherein the responsible broker shall certify as follows:
 - a. That the broker has read the Statement of Issues which is the basis for the issuance of the restricted license; and
 - b. That the broker will carefully review all transaction documents prepared by the restricted licensee and otherwise exercise close supervision over the licensee's performance of acts for which a license is required.

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4. Respondent shall notify the Commissioner in writing within seventy-two (72) hours of any arrest by sending a certified letter to the Commissioner at the Department of Real Estate, 651 Bannan Street, Suite 504, Sacramento, CA 95811. The letter shall set forth the date of Respondent's arrest, the crime for which Respondent was arrested and the name and address of the arresting law enforcement agency. Respondent's failure to timely file written notice shall constitute an independent violation of the terms of the restricted license and shall be grounds for the suspension or revocation of that license.

8/28/2024

Dated

Steve Chu, Attorney, Department of Real Estate

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Respondent has read this Stipulation and Waiver and its terms are understood by Respondent and are agreeable and acceptable to Respondent. Respondent understands that Respondent is waiving rights given to Respondent by the Administrative Procedure Act (including but not limited to Sections 11506, 11508, 11509, and 11513 of the Government Code), and Respondent willingly, intelligently, and voluntarily waives those rights, including the right of a hearing on the Statement of Issues at which Respondent would have the right to cross-examine witnesses against Respondent and to present evidence in defense and mitigation of the charges.

Respondent shall send a hard copy of the original signed Stipulation and Waiver to: Steve Chu, Department of Real Estate, 320 West 4th St, Suite 350, Los Angeles, CA 90013-1105.

In the event of time constraints before an administrative hearing, Respondent can signify acceptance and approval of the terms and conditions of this Stipulation and Waiver by emailing a scanned copy of the signature page, as actually signed by Respondent, to the Department counsel assigned to this case. Respondent agrees, acknowledges and understands that by electronically sending the Department a scan of Respondent's actual signature as it appears on the Stipulation and Waiver, that receipt of the scan by the Department shall be binding on Respondent as if the Department had received the original signed Stipulation and Waiver.

8/27/24
Dated9/3/24
Dated
PATRICK JAMES TAFOYA
Respondent
Frank Buda
Counsel for Respondent
Approved as to Form

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2 I have read the Statement of Issues filed herein and the foregoing Stipulation and
3 Waiver signed by Respondent. I am satisfied that the hearing for the purpose of requiring further
4 proof as to the honesty and truthfulness of Respondent need not be called and that it will not be
5 inimical to the public interest to issue a restricted real estate salesperson license to Respondent.

6 Therefore, IT IS HEREBY ORDERED that a restricted real estate salesperson
7 license be issued to Respondent, if Respondent has otherwise fulfilled all of the statutory
8 requirements for licensure. The restricted license shall be limited, conditioned, and restricted as
9 specified in the foregoing Stipulation and Waiver.

10 This Order is effective immediately.

11 IT IS SO ORDERED 12/10/24.

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13 CHIKA SUNQUIST
14 REAL ESTATE COMMISSIONER

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16 By: Marcus L. McCarther
17 Chief Deputy Real Estate Commissioner
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