

**FILED**

**JAN 24 2023**

**DEPT. OF REAL ESTATE**

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10 BEFORE THE DEPARTMENT OF REAL ESTATE  
11 STATE OF CALIFORNIA

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14 In the Matter of the Application of ) No. H-42428 LA  
 )  
 15 SETH AARON BAUM, ) STATEMENT OF ISSUES  
 )  
 16 Respondent. )  
 )  
 17 \_\_\_\_\_)

18 The Complainant, Jason Parson, a Supervising Special Investigator of the State  
 19 of California, for Statement of Issues against SETH AARON BAUM also known as Seth Baum  
 20 and Seth A. Baum (“Respondent”), is informed and alleges in his official capacity as follows:

21 1.

22 On or about September 27, 2021, Respondent made application to the  
 23 Department of Real Estate (“Department”) of the State of California for a real estate  
 24 salesperson license.

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1 (CRIMINAL CONVICTIONS)

2 2.

3 On or about March 24, 2015, in the in the State of Michigan, 6th Judicial  
4 Circuit, County of Oakland, Case No. 2014-252982-FH, Respondent was alleged to have  
5 committed a violation of Michigan Compiled Law section 445.65 (identity theft). No judgment  
6 of conviction was entered. The Respondent was assigned to “youthful trainee status” and was  
7 committed to the county jail for thirty-five (35) days, with credit given for thirty-five (35) days  
8 previously served. Respondent was placed on probation for twelve (12) months. On or about  
9 January 26, 2016, Respondent was convicted on a plea of guilty for violation of probation as to  
10 violation of Michigan Compiled Law section 445.65 (identity theft). Probation was revoked,  
11 and Respondent was ordered to serve forty-one (41) days in jail, with credit for forty-one (41)  
12 days. Respondent’s “youthful trainee status” was revoked.

13 3.

14 On or about March 3, 2016, in the State of Michigan, 6th Judicial Circuit,  
15 County of Oakland, Case No. 2016-257577-FH, Respondent was convicted on a plea of guilty  
16 for Count 1: violation of Michigan Compiled Law section 445.65 (identity theft), and Count 2:  
17 violation of Michigan Compiled Law section 750.81D1 (police officer – assault/resisting/  
18 obstructing), both felonies. Respondent was ordered to serve one hundred fifty-three (153) days  
19 in jail, with credit for fifty-nine (59) days. Respondent was placed on probation for two (2)  
20 years, on certain terms and conditions, including, in part, submitting to drug and alcohol testing,  
21 and paying fines and fees. On or about December 22, 2016, probation was revoked. Respondent  
22 was sentenced to the custody of the Michigan Department of Corrections for a minimum of  
23 eighteen (18) months, and a maximum of five (5) years, with credit given for one hundred  
24 sixty-one (161) days.

25 4.

26 On or about November 17, 2016, in the State of New York, Albany County,  
27 New Scotland Town Court, Criminal Part, Case No. 16110022, Respondent was convicted on a

1 plea for violation of New York Penal Law section 220.03 (criminal possession of a controlled  
2 substance). Respondent was ordered to serve time in jail, and was granted credit for time  
3 served. Respondent was also ordered to pay a civil fine and a surcharge.

4 5.

5 On or about December 14, 2016, in the State of Michigan, 46th Judicial District,  
6 Case No. 16SO05468A, Respondent was convicted on a plea of guilty for violation of  
7 Michigan Compiled Law section 257.9041B (drove while license suspended/revoked/denied), a  
8 misdemeanor. Respondent was ordered to pay fees and fines.

9 6.

10 On or about June 29, 2018, in the State of Michigan, 6th Judicial Circuit, County  
11 of Oakland, Case No. 18-267363-FH, Respondent was convicted on a plea of guilty for  
12 violation of Michigan Compiled Law section 333.7403(2)(b)(i) (possession of  
13 methamphetamine/ecstasy) and violation of Michigan Compiled Law section 333.7403(2)(a)(v)  
14 (possession of cocaine, heroin, or another narcotic, less than 25 grams), both felonies.  
15 Respondent was ordered to serve three hundred sixty-five (365) days in jail. Respondent was  
16 placed on probation for eighteen (18) months, on certain terms and conditions, including, in  
17 part, participation in a PA511 residential program, and payment of fines and fees.

18 7.

19 The crimes of which Respondent was convicted, as described in Paragraphs 2  
20 through 6 above, by their facts and circumstances, bear a substantial relationship under Section  
21 2910, Title 10, Chapter 6, California Code of Regulations to the qualifications, functions or  
22 duties of a real estate licensee.

23 8.

24 The crimes of which Respondent was convicted, as described in Paragraphs 2  
25 through 6 above, constitute cause for denial of Respondent's application for a real estate license  
26 under California Business and Professions Code sections 480(a)(1) and 10177(b).

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These proceedings are brought under the provisions of Section 10100, Division 4 of the Business and Professions Code of the State of California and Sections 11500 through 11528 of the California Government Code.

\* \* \*

WHEREFORE, the Complainant prays that the above-entitled matter be set for hearing and, upon proof of the charges contained herein, that the Commissioner refuse to authorize the issuance of, and deny the issuance of, a real estate salesperson license to Respondent SETH AARON BAUM and for such other and further relief as may be proper under other applicable provisions of law.

Dated at Los Angeles, California this 23rd day of January, 2023.

  
\_\_\_\_\_  
Jason Parson  
Supervising Special Investigator

cc: SETH AARON BAUM  
Jason Parson  
Sacto.