Bureau of Real Estate 320 W. 4th Street, Suite 350 Los Angeles, CA 90013-1105

Telephone: (213) 576-6982

## FILED

FEB 1 7 2017 BUREAU OF REAL ESTATE

By 3h go

# BEFORE THE BUREAU OF REAL ESTATE STATE OF CALIFORNIA

\* \* \*

In the Matter of the Accusation of

ALLAN VON HAASUM,

Respondent.

No. H-40264 LA

STIPULATION

AND

AGREEMENT

It is hereby stipulated by and between ALLAN VON HAASUM ("Respondent"), acting by and through Frank M. Buda, Attorney at Law, and the Complainant, acting by and through Amelia V. Vetrone, Counsel for the Bureau of Real Estate, as follows for the purpose of settling and disposing of the Accusation ("Accusation") filed on May 24, 2016, in this matter:

- 1. All issues which were to be contested and all evidence which was to be presented by Complainant and Respondent at a formal hearing on the Accusation, which hearing was to be held in accordance with the provisions of the Administrative Procedure Act ("APA"), shall instead and in place thereof be submitted solely on the basis of the provisions of this Stipulation and Agreement ("Stipulation").
- 2. Respondent has received, read and understands the Statement to Respondent, the Discovery Provisions of the APA and the Accusation filed by the Bureau of Real Estate in this proceeding.

- 3. Respondent filed a Notice of Defense pursuant to Section 11506 of the Government Code for the purpose of requesting a hearing on the allegations in the Accusation. Respondent hereby freely and voluntarily withdraws said Notice of Defense. Respondent acknowledges that he understands that by withdrawing said Notice of Defense he thereby waives his right to require the Commissioner to prove the allegations in the Accusation at a contested hearing held in accordance with the provisions of the APA and that he will waive other rights afforded to him in connection with the hearing such as the right to present evidence in his defense and the right to cross-examine witnesses.
- 4. This Stipulation is based on the allegations contained in the Accusation. In the interest of expedience and economy, Respondent chooses not to contest these allegations, but to remain silent and understands that, as a result thereof, these allegations, without being admitted or denied, will serve as a prima facie basis for the disciplinary action stipulated to herein. The Real Estate Commissioner shall not be required to provide evidence to prove said allegations.
- 5. This Stipulation is made for the purpose of reaching an agreed disposition of this proceeding and is expressly limited to this proceeding and not any other proceeding or case in which the Bureau of Real Estate ("Bureau"), or another licensing agency of this state, another state or the federal government is involved, and otherwise shall not be admissible in any other criminal or civil proceeding.
- 6. It is understood by the parties that the Real Estate Commissioner may adopt this Stipulation as his Decision in this matter thereby imposing the penalty and sanctions on Respondent's real estate license and license rights as set forth in the below "Order". In the event that the Commissioner in his discretion does not adopt the Stipulation, the Stipulation shall be void and of no effect and Respondent shall retain the right to a hearing and proceeding on the Accusation under the provisions of the APA and shall not be bound by any stipulation or waiver made herein.
- 7. The Order or any subsequent Order of the Real Estate Commissioner made pursuant to this Stipulation shall not constitute an estoppel, merger or bar to any further

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of this Decision; provided, however, that the initial forty-five (45) days of that suspension shall

be stayed for two years upon the following terms and conditions:

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Respondent shall, within nine (9) months from the effective date of this

Decision and Order, present evidence satisfactory to the Real Estate Commissioner that Respondent has, since the most recent issuance of an original or renewal real estate license, taken and successfully completed the continuing education requirements of Article 2.5 of Chapter 3 of the Real Estate Law, beginning at Code Section 10170, for renewal of a real estate license. If Respondent fails to satisfy this condition, then his real estate license shall be automatically suspended until he presents evidence satisfactory to the Commissioner of having taken and successfully completed the continuing education requirements. Proof of completion of the continuing education courses must be delivered to the Bureau of Real Estate, Flag Section, P.O. Box 137013, Sacramento, CA 95813-7013.

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Respondent shall within six (6) months from the effective date of the Decision and Order herein, take and pass the Professional Responsibility Examination administered by the Bureau including the payment of the appropriate examination fee. If Respondent fails to satisfy this condition, his real estate license shall be automatically suspended until he passes the examination.

IV.

Order herein submit proof satisfactory to the Commissioner of having taken and successfully completed the continuing education course on trust fund accounting and handling specified in paragraph (3) of subdivision (a) of Section 10170.5 of the Code. If Respondent fails to satisfy this condition, Respondent's real estate license shall be automatically suspended until he presents evidence satisfactory to the Commissioner of having taken and successfully completed the trust fund accounting and handling course. Proof of completion of the continuing education courses must be delivered to the Bureau of Real Estate, Flag Section, P.O. Box 137013, Sacramento, CA 95813-7013.

Pursuant to Section 10148 of the Code, Respondent shall pay the Commissioner's reasonable cost for the audit which led to this disciplinary action. Respondent shall pay such cost within sixty (60) days of receiving an invoice therefore from the Commissioner.

Payment of the audit costs should not be made until Respondent receives the invoice. If Respondent fails to satisfy this condition in a timely manner as provided for herein, Respondent's real estate license shall automatically be suspended until payment is made in full, or until a decision providing otherwise is adopted following a hearing held pursuant to this condition.

Pursuant to Section 10148 of the Code, Respondent shall pay the Commissioner's reasonable cost, not to exceed \$5,663.75, for a subsequent audit to determine if Respondent has corrected the violations found in the Determination of Issues. In calculating the amount of the Commissioner's reasonable cost, the Commissioner may use the estimated average hourly salary for all persons performing audits of real estate brokers, and shall include an allocation for travel time to and from the auditor's place of work. **Respondent shall pay such cost within sixty** (60) **days of receiving an invoice therefore from the Commissioner.** Payment of the audit costs should not be made until Respondent receives the invoice. If Respondent fails to satisfy this condition in a timely manner as provided for herein, Respondent's real estate license shall automatically be suspended until payment is made in full, or until a decision providing otherwise is adopted following a hearing held pursuant to this condition.

VI.

Prior to the effective date of this Decision, and pursuant to Section 10106 of the Code, Respondents shall pay the Commissioner's reasonable cost for the investigation which led to this disciplinary action in the amount of \$1,194.20. Said payment shall be in the form of a cashier's check made payable to the Bureau of Real Estate. Said check must be delivered to the Bureau of Real Estate, Flag Section, P.O. Box 137013, Sacramento, CA 95813-7013, prior to the effective date of this Decision and Order.

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If Respondent fails to satisfy this condition in a timely manner as provided for herein, Respondent's real estate license shall automatically be suspended until payment is made in full, or until a decision providing otherwise is adopted following a hearing held pursuant to

DATED: 1-3-17

Amelia V. Vetrone, Counsel for Bureau of Real Estate

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#### **EXECUTION OF THE STIPULATION**

I have read the Stipulation. Its terms are understood by me and are agreeable and acceptable to me. I understand that I am waiving rights given to me by the California Administrative Procedure Act (including but not limited to Sections 11506, 11508, 11509 and 11513 of the Government Code), and I willingly, intelligently and voluntarily waive those rights, including the right of requiring the Commissioner to prove the allegations in the Accusation at a hearing at which I would have the right to cross-examine witnesses against me and to present evidence in defense and mitigation of the charges.

#### **MAILING**

Respondent shall mail the original signed signature page of the stipulation herein to Amelia V. Vetrone: Attention: Legal Section, Bureau of Real Estate, 320 W. Fourth St., Suite 350, Los Angeles, California 90013-1105.

Respondent's signature below constitutes acceptance and approval of the terms and conditions of this Stipulation. Respondent agrees, acknowledges and understands that by signing this Stipulation Respondent is bound by its terms as of the date of such signature and that this agreement is not subject to rescission or amendment at a later date except by a separate Decision and Order of the Real Estate Commissioner.

### **MAILING**

Respondent shall <u>mail the original</u> signed signature page of the stipulation herein to Amelia V. Vetrone: Attention: Legal Section, Bureau of Real Estate, 320 W. Fourth St., Suite 350, Los Angeles, California 90013-1105.

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| DATED: | 12-30-2016 | and the          |
|--------|------------|------------------|
|        |            | ALLAN VON HAASUM |

Respondent

DATED: Frank M. Buda

Counsel for Respondent
Approved as to Form

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The foregoing Stipulation and Agreement is hereby adopted as my Decision as to

Respondent ALLAN VON HAASUM, and shall become effective at 12 o'clock noon on

MAR - 9 2017

IT IS SO ORDERED

WAYNE S. BELL

Real Estate Commissioner