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. ,	1	Department of Real Estate	
	2	320 West Fourth Street, #350 Los Angeles, California 90013	
	_ 3	(213) 576-6982 SEP 1 7 2012	
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	5	DEPARTMENT OF PERMIT	
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	8	BEFORE THE DEPARTMENT OF REAL ESTATE	
	9	STATE OF CALIFORNIA	
	10	· * * *	
	11	In the Matter of the Accusation of) NO. H-37624 LA	
	12	TOM QUANG NGO, OAH No. 2011120974	
	13	Respondent.)	
	14) <u>STIPULATION AND AGREEMENT</u>)	
	15		
	16	It is hereby stipulated by and between	
	17	TOM QUANG NGO (hereinafter "Respondent") and his attorney, Frank	
	18	M. Buda, and the Complainant, acting by and through Julie L. To,	
	19	Counsel for the Department of Real Estate, as follows for the	
	20	purpose of settling and disposing of the Accusation filed on	
,	21 22	October 26, 2011, in this matter:	
	22	1. All issues which were to be contested and all	
	24	evidence which was to be presented by Complainant and Respondent	
	25	at a formal hearing on the Accusation, which hearing was to be	
	26	held in accordance with the provisions of the Administrative	
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		- 1 -	

Procedure Act (APA), shall instead and in place thereof be submitted solely on the basis of the provisions of this Stipulation and Agreement.

2. Respondent has received, read and understands the Statement to Respondent, the Discovery Provisions of the APA and the Accusation filed by the Department of Real Estate in this proceeding.

8 On November 3, 2011, Respondent filed a Notice of 3. 9 Defense pursuant to Section 11506 of the Government Code for the 10 purpose of requesting a hearing on the allegations in the 11 Accusation. Respondent hereby freely and voluntarily withdraws 12 said Notice of Defense. Respondent acknowledges that he 13 understands that by withdrawing said Notice of Defense he will 14 thereby waive his right to require the Commissioner to prove the 15 allegations in the Accusation at a contested hearing held in 16 accordance with the provisions of the APA and that he will waive 17 other rights afforded to him in connection with the hearing such 18 as the right to present evidence in defense of the allegations 19 in the Accusation and the right to cross-examine witnesses. 20

4. Respondent, pursuant to the limitations set forth
below, hereby admits that the factual allegations of the
Accusation filed in this proceeding are true and correct and the
Real Estate Commissioner shall not be required to provide
further evidence of such allegations.

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5. It is understood by the parties that the Real

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Estate Commissioner may adopt the Stipulation and Agreement as his Decision in this matter, thereby imposing the penalty and sanctions on Respondent's real estate license and license rights as set forth in the below "Order". In the event that the Commissioner in his discretion does not adopt the Stipulation and Agreement, it shall be void and of no effect, and Respondent shall retain the right to a hearing and proceeding on the Accusation under all the provisions of the APA and shall not be bound by any admission or waiver made herein.

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6. The Order or any subsequent Order of the Real Estate Commissioner made pursuant to this Stipulation and Agreement shall not constitute an estoppel, merger or bar to any further administrative or civil proceedings by the Department of Real Estate with respect to any matters which were not specifically alleged to be causes for accusation in this proceeding.

DETERMINATION OF ISSUES

By reason of the foregoing stipulations, admissions and waivers and solely for the purpose of settlement of the pending Accusation without a hearing, it is stipulated and agreed that the following determination of issues shall be made:

The conduct of Respondent, as set forth in the Accusation constitutes grounds for suspension or revocation of Respondent's real estate broker license under the provisions of Sections 10177(f) of the Business and Professions Code.

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ORDER

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2	WHEREFORE, THE FOLLOWING ORDER is hereby made:		
3	All licenses and licensing rights of Respondent TOM		
4	QUANG NGO under the Real Estate Law are revoked; provided,		
5	however, a restricted real estate broker license shall be issued		
6	to Respondent pursuant to Section 10156.5 of the Business and		
7	Professions Code if Respondent makes application therefor and		
8	pays to the Department of Real Estate the appropriate fee for		
9	the restricted license within 90 days from the effective date of		
10	this Decision. The restricted license issued to Respondent		
11	shall be subject to all of the provisions of Section 10156.7 of		
12	the Business and Professions Code and to the following		
13	limitations, conditions and restrictions imposed under authority		
14	of that Code:		
15	1. The restricted license issued to Respondent may be		
16	suspended prior to hearing by Order of the Real Estate		
17 18	Commissioner in the event of Respondent's conviction or plea of		
10	nolo contendere to a crime which is substantially related to		
20	Respondent's fitness or capacity as a real estate licensee.		
21	2. The restricted license issued to Respondent may be		
22	suspended prior to hearing by Order of the Real Estate		
23	Commissioner on evidence satisfactory to the Commissioner that		
24	Respondent has violated provisions of the California Real Estate		
25	Law, the Subdivided Lands Law, Regulations of the Real Estate		
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Commissioner, or conditions attaching to this restricted license.

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3. Respondent shall not be eligible to apply for the issuance of an unrestricted real estate license nor for the removal of any of the conditions, limitations or restrictions of a restricted license until two (2) years have elapsed from the date of issuance of the restricted license to Respondent.

8 Respondent shall, within nine months from the 4. effective date of this Decision, present evidence satisfactory to 9 10 the Real Estate Commissioner that Respondent has, since the most 11 recent issuance of an original or renewal real estate license, 12 taken and successfully completed the continuing education 13 requirements of Article 2.5 of Chapter 3 of the Real Estate Law 14 for renewal of a real estate license. If Respondent fails to 15 satisfy this condition, the Commissioner may order the suspension 16 of the restricted license until the Respondent presents such 17 The Commissioner shall afford Respondent the evidence. 18 opportunity for a hearing pursuant to the Administrative 19 Procedure Act to present such evidence. 20

<u>5. Respondent shall notify the Commissioner in</u>
writing within 72 hours of any arrest by sending a certified
letter to the Commissioner at the Department of Real Estate,
Post Office Box 187000, Sacramento, CA 95818-7000. The letter
shall set forth the date of Respondent's arrest, the crime for
which Respondent was arrested and the name and address of the

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faxed copy by the Department shall be as binding on Respondent 1 as if the Department had received the original signed 2 Stipulation and Agreement. 3 4 27/2012 DATED: 7 5 TOM QUANG NGO, Respondent 6 I have reviewed the Stipulation and Agreement as to 7 form and content and have advised my client accordingly. 8 9 7-31 -12 <u>И</u>. 10 BUDA, Attorney for Respondent DATED: 11 111 12 13 111 111 14 15 111 16 111 17 /// 18 /// 19 1.11 20 /// 21 /// 22 /// 23 /// 24 /// 25 /// 26 /// 27

The foregoing Stipulation and Agreement is hereby adopted as my Decision in this matter and shall become effective OCT 0 8 2017 at 12 o'clock noon on IT IS SO ORDERED Real Estate Commissioner By WAY S. BE Chief Counsel YE S. BELL 8 -