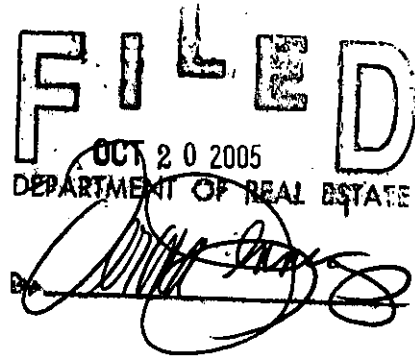


1 Department of Real Estate
2 320 W. 4th Street, Suite 350
3 Los Angeles, CA 90013-1105
4 Telephone: (213) 576-6982



7 DEPARTMENT OF REAL ESTATE
8 STATE OF CALIFORNIA

9
10 *In the Matter of the Application of*

11
12 ROGELIO C. FLORES,

13 Respondent

)
) No. H- 32043 LA
)
)

) STIPULATION AND
) WAIVER
)
)

14
15 It is hereby stipulated by and between ROGELIO C. FLORES (hereinafter "Respondent") and
16 Respondent's attorney, Robert J. Carlson, and the Complainant, acting by and through James R. Peel,
17 Counsel for the Department of Real Estate, as follows for the purpose of settling and disposing of the
18 Statement of Issues filed on June 28, 2005, in this matter:

19 Respondent acknowledges that Respondent has received and read the Statement of Issues and the
20 Statement to Respondent filed by the Department of Real Estate in connection with Respondent's
21 application for a real estate salesperson license. Respondent understands that the Real Estate Commissioner
22 may hold a hearing on this Statement of Issues for the purpose of requiring further proof of Respondent's
23 honesty and truthfulness and to prove other allegations therein, or that he may in his discretion waive the
24 hearing and grant Respondent a restricted real estate salesperson license based upon this Stipulation and
25 Waiver. Respondent also understands that by filing the Statement of Issues in this matter the Real Estate
26 Commissioner is shifting the burden to Respondent to make a satisfactory showing that Respondent meets
27 all the requirements for issuance of a real estate salesperson license. Respondent further understands that by

1 entering into this stipulation and waiver Respondent will be stipulating that the Real Estate Commissioner
2 has found that Respondent has failed to make such a showing, thereby justifying the denial of the issuance
3 to Respondent of an unrestricted real estate salesperson license.

4 Respondent hereby admits that the allegations of the Statement of Issues filed against Respondent are
5 true and correct and requests that the Real Estate Commissioner in his discretion issue a restricted real
6 estate salesperson license to Respondent under the authority of Section 10156.5 of the Business and
7 Professions Code. Respondent understands that any such restricted license will be issued subject to and be
8 limited by Section 10153.4 of the Business and Professions Code.

9 Respondent is aware that by signing this Stipulation and Waiver, Respondent is waiving Respondent's
10 right to a hearing and the opportunity to present evidence at the hearing to establish Respondent's
11 rehabilitation in order to obtain an unrestricted real estate salesperson license if this Stipulation and Waiver
12 is accepted by the Real Estate Commissioner. However, Respondent is not waiving Respondent's right to a
13 hearing and to further proceedings to obtain a restricted or unrestricted license if this Stipulation and
14 Waiver is not accepted by the Commissioner.

15 Respondent further understands that the following conditions, limitations, and restrictions will attach
16 to a restricted license issued by the Department of Real Estate pursuant hereto:

- 17 1. The license shall not confer any property right in the privileges to be exercised including the
18 right of renewal, and the Real Estate Commissioner may by appropriate order suspend the right
19 to exercise any privileges granted under this restricted license in the event of:
 - 20 a. The conviction of Respondent (including a plea of nolo contendere) to a crime which bears
21 a substantial relationship to Respondent's fitness or capacity as a real estate licensee; or
 - 22 b. The receipt of evidence that Respondent has violated provisions of the California Real
23 Estate Law, the Subdivided Lands Law, Regulations of the Real Estate Commissioner, or
24 conditions attaching to this restricted license.
- 25 2. Respondent shall not be eligible to apply for the issuance of an unrestricted real estate license
26 nor the removal of any of the conditions, limitations or restrictions attaching to the restricted
27

1 license until two years have elapsed from the date of issuance of the restricted license to
2 Respondent.

3 3. With the application for license, or with the application for transfer to a new employing broker,

4 Respondent shall submit a statement signed by the prospective employing broker on a form
5 approved by the Department of Real Estate wherein the employing broker shall certify as
6 follows:

7 a. That broker has read the Statement of Issues which is the basis for the issuance of the
8 restricted license; and

9 b. That broker will carefully review all transaction documents prepared by the restricted
10 licensee and otherwise exercise close supervision over the licensee's performance of acts
11 for which a license is required.

12 4. Respondent's restricted real estate salesperson license is issued subject to the requirements of

13 Section 10153.4 of the Business and Professions Code, to wit: Respondent is required, within
14 eighteen (18) months of the issuance of the restricted license, to submit evidence satisfactory to
15 the Commissioner of successful completion, at an accredited institution, of
16 a course in real estate practices and one of the courses listed in Section 10153.2, other than real
17 estate principles, advanced legal aspects of real estate, advanced real estate finance, or advanced
18 real estate appraisal. If Respondent fails to timely present to the Department satisfactory
19 evidence of successful completion of the two required courses, the restricted license shall be
20 automatically suspended effective eighteen (18) months after the date of its issuance. Said
21 suspension shall not be lifted unless, prior to the expiration of the restricted license, Respondent
22 has submitted the required evidence of course completion and the Commissioner has given
23 written notice to Respondent of the lifting of the suspension.

24 5. Pursuant to Section 10154, if Respondent has not satisfied the requirements for an unqualified

25 license under Section 10153.4, Respondent shall not be entitled to renew the restricted license,
26 and shall not be entitled to the issuance of another license which is subject to Section 10153.4
27 until four years after the date of the issuance of the preceding restricted license.

1
2 Aug 3, 2005
3 Dated

James R. Peel
4 JAMES R. PEEL, Counsel, Department of Real Estate

5 ***

6 I have read the Stipulation and Waiver, have discussed it with my counsel, and its terms are
7 understood by me and are agreeable and acceptable to me. I understand that I am waiving rights given to me
8 by the California Administrative Procedure Act (including but not limited to Sections 11506, 11508, 11509,
9 and 11513 of the Government Code), and I willingly, intelligently, and voluntarily waive those rights,
10 including the right of a hearing on the Statement of Issues at which I would have the right to cross-examine
11 witnesses against me and to present evidence in defense and mitigation of the charges.

12 Respondent can signify acceptance and approval of the terms and conditions of this Stipulation and
13 Waiver by faxing a copy of the signature page, as actually signed by respondent, to the Department at fax
14 number (213) 576-6917. Respondent agrees, acknowledges and understands that by electronically sending
15 to the Department a fax copy of his actual signature as it appears on the Stipulation and Waiver, that receipt
16 of the faxed copy by the Department shall be as binding on Respondent as if the Department had received
17 the original signed Stipulation and Waiver.

18 _____
Dated

ROGELIO C. FLORES, Respondent

19 *I have reviewed the Stipulation and Waiver as to form and content and have advised my client*
20 *accordingly.*

21 _____
Dated

ROBERT J. CARLSON, Attorney for Respondent

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Dated

JAMES R. PEEL, Counsel, Department of Real Estate

I have read the Stipulation and Waiver, have discussed it with my counsel, and its terms are understood by me and are agreeable and acceptable to me. I understand that I am waiving rights given to me by the California Administrative Procedure Act (including but not limited to Sections 11506, 11508, 11509, and 11513 of the Government Code), and I willingly, intelligently, and voluntarily waive those rights, including the right of a hearing on the Statement of Issues at which I would have the right to cross-examine witnesses against me and to present evidence in defense and mitigation of the charges.

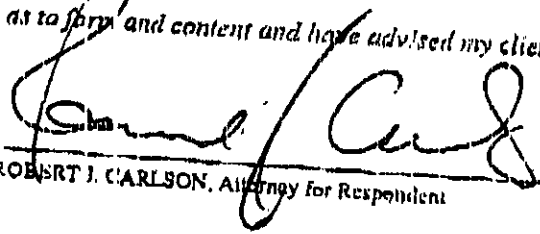
Respondent can signify acceptance and approval of the terms and conditions of this Stipulation and Waiver by faxing a copy of the signature page, as actually signed by respondent, to the Department at fax number (213) 576-5917. Respondent agrees, acknowledges and understands that by electronically sending to the Department a fax copy of his actual signature as it appears on the Stipulation and Waiver, that receipt of the faxed copy by the Department shall be as binding on Respondent as if the Department had received the original signed Stipulation and Waiver.

5/02/05
Dated


ROGELIO C. FLORES, Respondent

I have reviewed the Stipulation and Waiver as to form and content and have advised my client accordingly.

5/2/05
Dated


ROBERT J. CARLSON, Attorney for Respondent

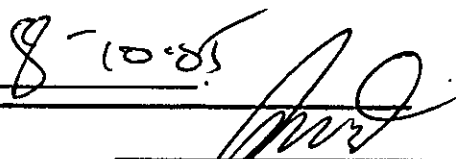
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I have read the Statement of Issues filed herein and the foregoing Stipulation and Waiver signed by Respondent. I am satisfied that the hearing for the purpose of requiring further proof as to the honesty and truthfulness of Respondent need not be called and that it will not be inimical to the public interest to issue a restricted real estate salesperson license to Respondent.

Therefore, IT IS HEREBY ORDERED that a restricted real estate salesperson license be issued to Respondent, if Respondent has otherwise fulfilled all of the statutory requirements for licensure. The restricted license shall be limited, conditioned, and restricted as specified in the foregoing Stipulation and Waiver.

This Order is effective immediately.

IT IS SO ORDERED _____

8-10-05


JEFF DAVI
Real Estate Commissioner

ADD
Flag

1 JAMES R. PEEL, Counsel (SBN 47055)
2 Department of Real Estate
3 320 West Fourth Street, Ste. 350
4 Los Angeles, California 90013-1105
5 Telephone: (213) 576-6982
6 -or- (213) 576-6913 (Direct)

FILED
JUN 28 2005
DEPARTMENT OF REAL ESTATE
[Handwritten Signature]

8 BEFORE THE DEPARTMENT OF REAL ESTATE
9 STATE OF CALIFORNIA

10 * * *

11 In the Matter of the Application of) No. H-32043 LA
12 ROGELIO C. FLORES,) STATEMENT OF ISSUES
13 Respondent.)
14

15 The Complainant, Maria Suarez, a Deputy Real Estate
16 Commissioner of the State of California, for Statement of Issues
17 against ROGELIO C. FLORES (Respondent), is informed and alleges
18 in her official capacity as follows:

19 I

20 On or about November 22, 2004, Respondent applied to
21 the Department of Real Estate of the State of California for a
22 real estate salesperson license with the knowledge and
23 understanding that any license issued as a result of that
24 application would be subject to the conditions of Section 10153.4
25 of the Business and Professions Code (hereinafter "Code").

26 ///

II

1 On or about January 22, 1990, in the Municipal Court,
2 County of Los Angeles, State of California, Respondent was
3 convicted of violating Vehicle Code Section 20002(a) (Hit and
4 Run/Property Damage), a crime which is substantially related to
5 the functions, duties and responsibilities of a real estate
6 licensee.
7

III

8 The conviction described in Paragraph II, above,
9 constitutes cause for denial of Respondent's application for a
10 real estate salesperson license under Code Section 480(a).
11

IV

12 In response to Question 25 of his license application,
13 to wit: "HAVE YOU EVER BEEN CONVICTED OF ANY VIOLATION OF LAW?
14 CONVICTIONS EXPUNGED UNDER PENAL CODE SECTION 1203.4 MUST BE
15 DISCLOSED. HOWEVER, YOU MAY OMIT MINOR TRAFFIC CITATIONS WHICH
16 DO NOT CONSTITUTE A MISDEMEANOR OR FELONY OFFENSE." Respondent
17 answered "No".
18

V


19 Respondent's failure to reveal the conviction set forth
20 in Paragraph II, above, in his license application, constitutes
21 the attempt to procure a real estate license by fraud,
22 misrepresentation or deceit, or by making a material misstatement
23 of fact or knowingly making a false statement of fact required to
24 be revealed in a real estate application, which constitutes cause
25 under Code Sections 480(c) and 10177(a) for denial of
26 Respondent's application for a real estate license.
27

1 The Statement of Issues is brought under the provisions
2 of Section 10100, Division 4 of the Business and Professions Code
3 of the State of California and Sections 11500 through 11528 of
4 the Government Code.

5 WHEREFORE, the Complainant prays that the above-
6 entitled matter be set for hearing and, upon proof of the charges
7 contained herein, that the Commissioner refuse to authorize the
8 issuance of, and deny the issuance of, a real estate salesperson
9 license to Respondent ROGELIO C. FLORES, and for such other and
10 further relief as may be proper in the premises.

11 Dated at Los Angeles, California,

12 this 1 day of June, 2005.

13
14 
15 MARIA SUAREZ
16 Deputy Real Estate Commissioner
17
18
19

20 cc: Rogelio C. Flores
21 RR Gable San Fernando Realty
22 Sacto.
23 Maria Suarez
24 MT
25
26
27