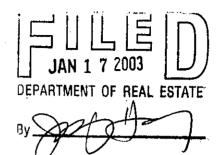
Department of Real Estate 320 West 4th Street, Suite 350 Los Angeles, California 90013-1105

Telephone: (213) 576-6982



### BEFORE THE DEPARTMENT OF REAL ESTATE STATE OF CALIFORNIA

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In the Matter of the Accusation of )

ROBERT GUY MC LELLAN,

Respondent.

No. H-29550 LA OAH No. L-2002060698

STIPULATION AND AGREEMENT

It is hereby stipulated by and between ROBERT GUY

MC LELLAN (sometimes referred to herein as "Respondent"), his
attorney of record, John V. Giardinelli, and the Complainant,
acting by and through Darlene Averetta, Counsel for the
Department of Real Estate, as follows for the purpose of settling
and disposing of the Accusation filed on June 12, 2002, in this
matter:

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1. All issues which were to be contested and all evidence which was to be presented by Complainant and Respondent at a formal hearing on the Accusation, which hearing was to be held in accordance with the provisions of the Administrative Procedure Act ("APA"), shall instead and in place thereof be submitted solely on the basis of the provisions of this Stipulation and Agreement ("Stipulation").

- 2. Respondent has received, read and understands the Statement to Respondent, the Discovery Provisions of the APA and the Accusation filed by the Department of Real Estate in this proceeding.
- 3. On June 20, 2002, Respondent filed a Notice of Defense pursuant to Section 11506 of the Government Code for the purpose of requesting a hearing on the allegations in the Accusation. Respondent hereby freely and voluntarily withdraws said Notice of Defense. Respondent acknowledges that he understands that by withdrawing said Notice of Defense, Respondent will thereby waive his right to require the Commissioner to prove the allegations in the Accusation at a contested hearing held in accordance with the provisions of the APA and that Respondent will waive other rights afforded to him in connection with the hearing such as the right to present evidence in defense of the allegations in the Accusation and the right to cross-examine witnesses.

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This Stipulation and Agreement ("Stipulation") is based on the factual allegations contained in the Accusation filed in this proceeding. In the interest of expedience and economy, Respondent chooses not to contest these factual allegations, but to remain silent and understands that, as a result thereof, these factual statements, without being admitted or denied, will serve as a prima facie basis for the disciplinary action stipulated to herein. This Stipulation and Respondent's decision not to contest the Accusation are hereby expressly limited to this proceeding and made solely for the purpose of reaching an agree disposition of this proceeding. Respondent's decision not to contest the factual allegations is made solely for the purpose of effectuating this Stipulation and is intended by Respondent to be non-binding upon him in any actions against Respondent by third parties. The Real Estate Commissioner shall not be required to provide further evidence to prove such allegations.

5. It is understood by the parties that the Real Estate Commissioner may adopt the Stipulation as her Decision in this matter thereby imposing the penalty and sanctions on Respondent's real estate license and license rights as set forth in the below "Order". In the event the Commissioner, in her discretion, does not adopt the Stipulation, it shall be void and of no effect, and Respondent shall retain the right to a hearing and proceeding on the Accusation under all the provisions of the APA and shall not be bound by any admission or waiver made herein.

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6. This Stipulation and any Order made pursuant to this Stipulation shall have no collateral estoppel or res judicata effect in any proceedings in which Respondent and the Department (or the Department's representative) are not parties. This Stipulation is made and received by the Commissioner and the Department, with the express understanding and agreement that it is for the purpose of settling these proceedings only, and that this Stipulation is not intended as, and shall not be deemed, used, or accepted as an acknowledgment or admission of fact in any other judicial, administrative, or other proceeding to which the Department is not a party.

#### DETERMINATION OF ISSUES

By reason of the foregoing stipulations, admissions and waivers and solely for the purpose of settlement of the pending Accusation without a hearing, it is stipulated and agreed that the following Determination of Issues shall be made: The conduct, acts and/or omissions of Respondent, as set forth in Paragraph III of the Accusation is grounds for suspension or revocation of all of the real estate licenses and license rights of Respondent under the provision of Sections 490 and 10177(b) of the Business and Professions Code.

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#### ORDER

WHEREFORE, THE FOLLOWING ORDER is hereby made:

All licenses and licensing rights of Respondent ROBERT GUY MC LELLAN under the Real Estate Law, are revoked; provided, however, a restricted real estate salesperson license shall be issued to Respondent pursuant to Section 10156.5 of Business and Professions Code if Respondent makes application therefor and pays to the Department of Real Estate the appropriate fee for said restricted license within 90 days from the effective date of this Decision.

The restricted license issued to Respondent shall be subject to all of the provisions of Section 10156.7 of the Business and Professions Code and to the following limitations, conditions and restrictions imposed under authority of Section 10156.6 of that Code:

- 1. The restricted license issued to Respondent may be suspended prior to hearing by Order of the Real Estate

  Commissioner in the event of Respondent's conviction or plea of nolo contendere to a crime which is substantially related to Respondent's fitness or capacity as a real estate licensee.
- 2. The restricted license issued to Respondent may be suspended prior to hearing by Order of the Real Estate

  Commissioner on evidence satisfactory to the Commissioner that Respondent has violated provisions of the California Real Estate Law, the Subdivided Lands Law, Regulations of the Real Estate Commissioner or conditions attaching to the restricted license.

Respondent shall not be eligible to apply for 1 issuance of an unrestricted real estate license nor for the 2 removal of any of the conditions, limitations or restrictions 3 of a restricted license until two (2) years have elapsed from the 4 effective date of issuance of this Decision. 5 Respondent shall submit with any application for 6 license under an employing broker, or any application for 7 transfer to a new employing broker, a statement signed by the prospective employing broker on a form approved by the Department of Real Estate which shall certify: 10 That the employing broker has read the 11 (a) Decision of the Commissioner which granted the right to a 12 restricted license; and 13 That employing broker will exercise close 14 supervision over the performance by the restricted licensee 15 relating to activities for which a real estate license is 16 17 required. Respondent shall, within nine (9) months from the 18 effective date of this Decision, present evidence satisfactory to 19 the Real Estate Commissioner that Respondent has, since the most 20 recent issuance of an original or renewal real estate license, 21 taken and successfully completed the continuing education 22 requirements of Article 2.5 of Chapter 3 of the Real Estate Law 23 for renewal of a real estate license. If Respondent fails to 24 satisfy this condition, the Commissioner may order the suspension 25 of the restricted license until the Respondent presents such 26 evidence. The Commissioner shall afford Respondent the 27 - 6 -

opportunity for a hearing pursuant to the Administrative Procedure Act to present such evidence.

Respondent shall submit to the Department of Real Estate as of the last day of each March, June, September and December, proof satisfactory to the Real Estate Commissioner of Respondent's ongoing participation in a recognized drug and alcohol diversion program. Said proof shall be submitted to the District Manager of the Los Angeles Office of the Department of Real Estate and shall be verified as true and accurate by Respondent under penalty of perjury.

DATED: December 17,2002

DARLENE AVERETTA, Counsel for the Complainant, the Department of Real Estate

\* \* \*

I have read the Stipulation and Agreement, have discussed it with my counsel, and its terms are understood by me and are agreeable and acceptable to me. I understand that I am waiving rights given to me by the California Administrative Procedure Act (including but not limited to Sections 11506, 11508, 11509 and 11513 of the Government Code), and I willingly, intelligently and voluntarily waive those rights, including the right of requiring the Commissioner to prove the allegations in the Accusation at a hearing at which I would have the right to cross-examine witnesses against me and to present evidence in defense and mitigation of the charges.

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Respondent can signify acceptance and approval of the terms and conditions of this Stipulation and Agreement by faxing 2 a copy of its signature page, as actually signed by Respondent, 3 to the Department at the following telephone/fax number: (213) 4 Respondent agrees, acknowledges and understands that 5 by electronically sending to the Department a fax copy of his 6 actual signature as it appears on the Stipulation and Agreement, 7 that receipt of the faxed copy by the Department shall be binding 8 on Respondent as if the Department had received the original 9 signed Stipulation and Agreement, 10 11 LELLAN, Respondent 13 14 GIARDINELLI, Attorney for 15 V. Kespondent (Approved as to form and content) 16 17 18 The foregoing Stipulation and Agreement is hereby adopted as my Decision in this matter and shall become effective 19 20 at 12 o'clock noon on \_ 21 IT IS SO ORDERED 22 PAULA REDDISH ZINNEMANN Real Estate Commissioner 23 24 25

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Respondent can signify acceptance and approval of the 1 terms and conditions of this Stipulation and Agreement by faxing 2 a copy of its signature page, as actually signed by Respondent, 3 to the Department at the following telephone/fax number: (213) 576-6917. Respondent agrees, acknowledges and understands that by electronically sending to the Department a fax copy of his 6 actual signature as it appears on the Stipulation and Agreement, 7 that receipt of the faxed copy by the Department shall be binding 8 on Respondent as if the Department had received the original 9 10 signed Stipulation and Agreement. 11 12 DATED: ROBERT GUY MC LELLAN, Respondent 1.3 14 DATED: JOHN V. GIARDINELLI, Attorney for 15 Respondent (Approved as to form and content) 16 17 The foregoing Stipulation and Agreement is hereby 18 adopted as my Decision in this matter and shall become effective 19 February 6, 2003 20 at 12 o'clock noon on 21 IT IS SO ORDERED 22 PAULA REDDISH ZINNEMANN 23 Real/Estate Commissioner 24 25 26 27

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# BEFORE THE DEPARTMENT OF REAL ESTATE STATE OF CALIFORNIA

In the Matter of the Accusation of )

ROBERT GUY MCLELLAN, )

Regrendent(g)

Case No. <u>H-29550 LA</u>

OAH No. L-2002060698

Respondent(s)

DEPARTMENT OF REAL ESTATE

NOTICE OF CONTINUED HEARING ON ACCUSATION

To the above-named Respondent(s):

You are hereby notified that a hearing will be held before the Department of Real Estate at the Office of Administrative Hearings, 320 West Fourth Street, Suite 630, Los Angeles, CA 90013-1105 on TUESDAY, DECEMBER 17, 2002, at the hour of 1:30 P.M., or as soon thereafter as the matter can be heard, upon the Accusation served upon you. If you object to the place of hearing, you must notify the presiding administrative law judge of the Office of Administrative Hearings within ten (10) days after this notice is served on you. Failure to notify the presiding administrative law judge within ten days will deprive you of a change in the place of the hearing.

You may be present at the hearing. You have the right to be represented by an attorney at your own expense. You are not entitled to the appointment of an attorney to represent you at public expense. You are entitled to represent yourself without legal counsel. If you are not present in person nor represented by counsel at the hearing, the Department may take disciplinary action against you based upon any express admission or other evidence including affidavits, without any notice to you.

You may present any relevant evidence and will be given full opportunity to cross-examine all witnesses testifying against you. You are entitled to the issuance of subpense to compel the attendance of witnesses and the production of books, documents or other things by applying to the Department of Real Estate.

The hearing shall be conducted in the English language. If you want to offer the testimony of any witness who does not proficiently speak the English language, you must provide your own interpreter and pay his or her costs. The interpreter must be certified in accordance with Sections 11435.30 and 11435.55 of the Government Code.

Dated: October 10, 2002

DARLENE AVERETTA

Assistant Chief Counsel

DEPARTMENT OF REAL ESTATE

cc: Robert Guy McLellan

John V. Giardinelli, Esq.

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RE 501 (Rev. 8/97)

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# BEFORE THE DEPARTMENT OF REAL ESTATE STATE OF CALIFORNIA

In the Matter of the Accusation of )

Case No. <u>H-29550 LA</u>

ROBERT GUY MCLELLAN,

OAH No. L-2002060698

Respondent.

JUL 1 7 2032

DEPARTMENT OF REAL ESTATE

### NOTICE OF HEARING ON ACCUSATION

To the above-named Respondent(s):

You are hereby notified that a hearing will be held before the Department of Real Estate at the Office of Administrative Hearings, 320 West Fourth Street, Suite 630, Los Angeles, CA 90013-1105 on FRIDAY, SEPTEMBER 27, 2002, at the hour of 1:30 P.M., or as soon thereafter as the matter can be heard, upon the Accusation served upon you. If you object to the place of hearing, you must notify the presiding administrative law judge of the Office of Administrative Hearings within ten (10) days after this notice is served on you. Failure to notify the presiding administrative law judge within ten days will deprive you of a change in the place of the hearing.

You may be present at the hearing. You have the right to be represented by an attorney at your own expense. You are not entitled to the appointment of an attorney to represent you at public expense. You are entitled to represent yourself without legal counsel. If you are not present in person nor represented by counsel at the hearing, the Department may take disciplinary action against you based upon any express admission or other evidence including affidavits, without any notice to you.

You may present any relevant evidence and will be given full opportunity to cross-examine all witnesses testifying against you. You are entitled to the issuance of subpenas to compel the attendance of witnesses and the production of books, documents or other things by applying to the Department of Real Estate.

The hearing shall be conducted in the English language. If you want to offer the testimony of any witness who does not proficiently speak the English language, you must provide your own interpreter and pay his or her costs. The interpreter must be certified in accordance with Sections 11435.30 and 11435.55 of the Government Code.

DEPARTMENT OF REAL ESTATE

Dated: <u>July</u>

July 17, 2002

cc:

Robert Guy McLellan

John V. Giardinelli, Esq.

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DAVID EDWARD BRUCE, Counsel (SBN 212539) Department of Real Estate 320 West Fourth Street, Suite 350 2 DEPARTMENT OF REAL ESTATE Los Angeles, California 90013-1105 3 (213) 576-6905 (direct) Telephone: (213) 576~6982 (office) 5 6 7 BEFORE THE DEPARTMENT OF REAL ESTATE 8 STATE OF CALIFORNIA 9 10 No. H-29550 LA In the Matter of the Accusation of ACCUSATION ROBERT GUY MCLELLAN, 12 Respondent. 13 14 The Complainant, Maria Suarez, a Deputy Real Estate 15 Commissioner of the State of California, for cause of Accusation 16 against ROBERT GUY MCLELLAN (Respondent), is informed and alleges 17 18 in her official capacity as follows: 19 ٠I 20 Respondent is presently licensed and/or has license 21 rights as a real estate broker under the Real Estate Law (Part 1 22 of Division 4 of the California Business and Professions Code) 23 (Code). 24 III25 ///

Respondent was originally licensed by the Department of Real Estate of the State California as a real estate broker on or about March 13, 1980.

III

On or about November 6, 2001, in the Superior Court of California, County of San Bernardino, San Bernardino District, Respondent was convicted by a guilty plea to one (1) count of violating Section 488 of the California Penal Code (Petty Theft) This misdemeanor crime involves moral turpitude and is substantially related under Section 2910, Chapter 6, Title 10 of the California Code of Regulations, to the qualifications, functions or duties of a real estate licensee.

IV

The facts, as alleged in Paragraph III above, constitute cause for suspension or revocation of the license and license rights of Respondent under Sections 490 and 10177(b) of the Code.

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WHEREFORE, the Complainant prays that a hearing be conducted on the allegations of this Accusation and that upon proof thereof, a decision be rendered imposing disciplinary action against the license and license rights of Respondent, ROBERT GUY MCLELLAN, under the Real Estate Law (Part 1 of Division 4 of the California Business and Professions Code) and for such other and further relief as may be proper under other applicable provisions of law.

Dated at Los Angeles, California, this Way day of June, 2002.

Deputy Real Estate Commissioner

cc: Robert Guy McLellan Maria Suarez

LA