FILED DEPARTMENT OF REAL ESTATE P. O. Box 187007 2 Sacramento, CA 95818-7007 OCT 28 2013 3 Telephone: (916) 227-0789 BUREAU OF REAL ESTATE 4 5 6 7 8 BEFORE THE DEPARTMENT OF REAL ESTATE 9 STATE OF CALIFORNIA 10 11 In the Matter of the Accusation of 12 NO. H-11374 SF JULIAN AVILA MANCIAS, 13 STIPULATION AND AGREEMENT CHRIS ANTHONY PISCIOTTA, and KATHERINE ANN JONES PISCIOTTA, 14 Respondents. 15 16 It is hereby stipulated by and between Respondents JULIAN AVILA MANCIAS, 17 acting on his own behalf, CHRIS ANTHONY PISCIOTTA and KATHERINE ANN JONES 18 PISCIOTTA (collectively referred to herein as "Respondents"), acting by and through Roger D. 19 Wintle, Esq., Counsel for Respondents CHRIS ANTHONY PISCIOTTA and KATHERINE 20 ANN JONES PISCIOTTA, and the Complainant, acting by and through Annette E. Ferrante, 21 Esq., Counsel for the Department of Real Estate ("Department"), as follows for the purpose of 22 settling and disposing of the Accusation filed on May 14, 2012, in this matter: 23 All issues which were to be contested and all evidence which was to be 1. 24 presented by Complainant and Respondents at a formal hearing on the Accusation, which 25 hearing was to be held in accordance with the provisions of the Administrative Procedure Act 26 27

("APA"), shall instead and in place thereof be submitted solely on the basis of the provisions of this Stipulation and Agreement.

- 2. Respondents have received, read and understand the Statement to Respondent, the Discovery Provisions of the APA and the Accusation filed by the Department in this proceeding.
- 3. Respondents filed a Notice of Defense pursuant to Section 11505 of the Government Code for the purpose of requesting a hearing on the allegations in the Accusation. Respondents hereby freely and voluntarily withdraw said Notice of Defense. Respondents acknowledge that Respondents understand that by withdrawing said Notice of Defense, Respondents will thereby waive Respondents' right to require the Real Estate Commissioner ("Commissioner") to prove the allegations in the Accusation at a contested hearing held in accordance with the provisions of the APA and that Respondents will waive other rights afforded to Respondents in connection with the hearing such as the right to present evidence in defense of the allegations in the Accusation and the right to cross-examine witnesses.
- 4. This Stipulation is based on the factual allegations contained in the Accusation. In the interest of expedience and economy, Respondents choose not to contest these factual allegations, but to remain silent and understand that, as a result thereof, these factual statements will serve as a prima facie basis for the "Determination of Issues" and "Order" set forth below. The Commissioner shall not be required to provide further evidence to prove such allegations.
- 5. This Stipulation and Respondents' decision not to contest the Accusation are made for the purpose of reaching an agreed disposition in this proceeding and are expressly limited to this proceeding and any other proceeding or case in which the Department, the state or federal government, an agency of this state, or an agency of another state is involved.
- 6. It is understood by the parties that the Commissioner may adopt the Stipulation and Agreement as his decision in this matter, thereby imposing the penalty and

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sanctions on Respondents' real estate licenses and license rights as set forth in the "Order" below. In the event that the Commissioner in his discretion does not adopt the Stipulation and Agreement, it shall be void and of no effect, and Respondents shall retain the right to a hearing and proceeding on the Accusation under all of the provisions of the APA and shall not be bound by any admission or waiver made herein.

- 7. The Order or any subsequent Order of the Commissioner made pursuant to this Stipulation and Agreement shall not constitute an estoppel, merger or bar to any further administrative or civil proceedings by the Department with respect to any matters which were not specifically alleged to be causes for accusation in this proceeding.
- 8. Respondents understand that by agreeing to this Stipulation and Agreement, Respondents agree to pay, pursuant to Section 10148 of the California Business and Professions Code ("the Code"), the costs of the audit which resulted in the determination that Respondents committed the trust fund violation(s) found in the Determination of Issues. The amount of such costs is \$6,928.00.
- 9. Respondents further understand that by agreeing to this Stipulation and Agreement, the findings set forth below in the Determination of Issues become final, and that the Commissioner may charge said Respondents for the costs of any audit conducted pursuant to Section 10148 of the Code to determine if the violations have been corrected. The maximum costs of said audit shall not exceed \$6,928.00.
- 10. Respondents understand that by agreeing to this Stipulation and Agreement, Respondents agree to pay, pursuant to Section 10106 of the Code, the costs of the investigation and enforcement of this case which resulted in the determination that Respondents committed the violation(s) found in the Determination of Issues. The amount of such cost is \$1,094.58.

DETERMINATION OF ISSUES

By reason of the foregoing stipulations, admissions and waivers and solely for the purpose of settlement of the pending Accusation without hearing, it is stipulated and agreed that the following determination of issues shall be made:

I.

The acts and omissions of Respondent JULIAN AVILA MANCIAS, as described in the Accusation are grounds for the suspension or revocation of his licenses and license rights under the provisions of Sections 10145, 10159.2, 10159.5, 10163, 10176(a), 10176(b), 10176(i), 10177(d), 10177(g), and 10177(h) of the Code, and Sections 2715, 2725, 2731, 2831.1, and 2832, of Title 10 of the California Code of Regulations ("the Regulations").

II.

The acts and omissions of Respondent CHRIS ANTHONY PISCIOTTA as described in the Accusation are grounds for the suspension or revocation of his licenses and license rights under the provisions of Sections 10145, 10177(d), and 10177(g) of the Code, and Sections 2831.1, 2831.2, and 2832.1 of the Regulations.

Ш.

The acts and omissions of Respondent <u>KATHERINE ANN JONES PISCIOTTA</u> as described in the Accusation are grounds for the suspension or revocation of her licenses and license rights under the provisions of Sections <u>10145</u>, <u>10177(d)</u>, and <u>10177(g)</u> of the Code, and Sections <u>2831.1</u>, <u>2831.2</u>, and <u>2832.1</u> of the Regulations.

<u>ORDER</u>

I.

1. The real estate broker license and license rights of Respondent JULIAN AVILA MANCIAS ("MANCIAS") are hereby revoked; provided, however, a restricted real estate broker license shall be issued to him pursuant to Section 10156.6 of the Code, if he makes application therefore and pays to the Department of Real Estate the appropriate fee for said license within ninety (90) days from the effective date of this Decision.

2.	The restricted license issued to MANCIAS shall be subject to all of the
provisions of Section	10156.7 of the Code and to the following limitations, conditions, and
restrictions imposed u	ander authority of Section 10156.6 of the Code:

- a. The restricted license issued to him may be suspended prior to hearing by Order of the Commissioner in the event of his conviction (including by plea of guilty or nolo contendere) to a crime which is substantially related to his fitness or capacity as a real estate licensee; and,
- b. The restricted license issued to him may be suspended prior to
 hearing by Order of the Commissioner on evidence satisfactory to the Commissioner that he has
 violated provisions of the California Real Estate Law, the Subdivided Lands Law, Regulations
 of the Real Estate Commissioner, or conditions attaching to the restricted license.
- 3. MANCIAS shall not be eligible to apply for the issuance of an unrestricted real estate license, nor the removal of any of the conditions of the restricted license, until two (2) years have elapsed from the effective date of this Decision.
- 4. Any restricted license issued to MANCIAS pursuant to this Decision shall be suspended for a period of forty-five (45) days from the issuance of the restricted license; provided, however, that forty-five (45) days of said suspension shall be stayed for two (2) years upon the following terms and conditions:
- a. Respondent shall obey all laws, rules and regulations governing the rights, duties and responsibilities of a real estate licensee in the State of California; and
- b. That no final subsequent determination be made, after hearing or upon stipulation, that cause for disciplinary action occurred within two (2) years from the effective date of this Order. Should such a determination be made, the Commissioner may, in his discretion, vacate and set aside the stay order and re-impose all or a portion of the stayed suspension. Should no such determination be made, the stay imposed herein shall become permanent.

5. MANCIAS shall, within nine (9) months from the effective date of this				
Decision, present evidence satisfactory to the Commissioner that Respondent has, since the mos				
recent issuance of an original or renewal real estate license, taken and successfully completed				
the continuing education requirements of Article 2.5 of Chapter 3 of the Real Estate Law for				
renewal of a real estate license. If he fails to satisfy this condition, the Commissioner may order				
he suspension of the restricted license until he presents such evidence. The Commissioner shall				
afford him the opportunity for hearing pursuant to the APA to present such evidence.				

6. Notwithstanding any other provision of this Order, all licenses and licensing rights of MANCIAS are suspended unless and until he provides proof satisfactory to the Commissioner that he has taken and successfully completed the continuing education course on Trust Fund Accounting and Handling specified in Section 10170.5(a)(3) of the Code. The course must have been completed no earlier than one hundred twenty (120) days prior to the effective date of this Order, and proof must be submitted prior to the effective date of this Order, to prevent suspension of his license pursuant to this condition.

7. MANCIAS shall, within six (6) months from the effective date of this

Order, take and pass the Professional Responsibility Examination administered by the

Department, including the payment of the appropriate examination fee. If he fails to satisfy this
condition, the Commissioner may order the suspension of all licenses and licensing rights of

MANCIAS until he passes the examination.

II.

1. All licenses and licensing rights of Respondent CHRIS ANTHONY
PISCIOTTA ("CHRIS PISCIOTTA") under the Real Estate Law are revoked; provided,
however, a restricted real estate salesperson's license shall be issued to him pursuant to Section
10156.5 of the Code if he makes application therefore and pays to the Department the
appropriate fee for the restricted license within 90 days from the effective date of this Decision.

2. The restricted license issued to CHRIS PISCIOTTA shall be subject to all					
of the provisions of Section 10156.7 of the Code as to the following limitations, conditions and					
restrictions imposed under authority of Section 10156.6 of that Code:					
a. The restricted license issued to him may be suspended prior to					
hearing by Order of the Commissioner in the event of his conviction (including by plea of guilty					
or nolo contendere) to a crime which is substantially related to his fitness or capacity as a real					
estate licensee; and,					
b. The restricted license issued to him may be suspended prior to					

- hearing by Order of the Commissioner on evidence satisfactory to the Commissioner that he has violated provisions of the California Real Estate Law, the Subdivided Lands Law, Regulations of the Real Estate Commissioner, or conditions attaching to the restricted license.
- 3. CHRIS PISCIOTTA shall not be eligible to apply for the issuance of an unrestricted real estate license nor for removal of any of the conditions, limitations or restrictions of a restricted license until two (2) years have elapsed from the effective date of this Decision.
- 4. Any restricted license issued to CHRIS PISCIOTTA pursuant to this Decision shall be suspended for a period of forty-five (45) days from the issuance of the restricted license; provided, however, that forty-five (45) days of said suspension shall be stayed for two (2) years upon the following terms and conditions:
- a. Respondent shall obey all laws, rules and regulations governing the rights, duties and responsibilities of a real estate licensee in the State of California; and
- b. That no final subsequent determination be made, after hearing or upon stipulation, that cause for disciplinary action occurred within two (2) years from the effective date of this Order. Should such a determination be made, the Commissioner may, in his discretion, vacate and set aside the stay order and re-impose all or a portion of the stayed suspension. Should no such determination be made, the stay imposed herein shall become permanent.

5. With the application for license, or with the application for transfer to a new employing broker, CHRIS PISCIOTTA shall submit a statement signed by the prospective employing real estate broker on a form approved by the Department which shall certify as follows:

- a. That the employing broker has read the Decision which is the basis for the issuance of the restricted license; and,
- b. That the employing broker will carefully review all transaction documents prepared by the restricted licensee and otherwise exercise close supervision over the licensee's performance of acts for which a license is required.
- 6. CHRIS PISCIOTTA shall, within nine (9) months from the effective date of this Decision, present evidence satisfactory to the Commissioner that he has, since the most recent issuance of an original or renewal real estate license, taken and successfully completed the continuing education requirements of Article 2.5 of Chapter 3 of the Real Estate Law for renewal of a real estate license. If he fails to satisfy this condition, the Commissioner may order the suspension of the restricted license until he presents such evidence. The Commissioner shall afford him the opportunity for hearing pursuant to the APA to present such evidence.
- 7. Notwithstanding any other provision of this Order, all licenses and licensing rights of CHRIS PISCIOTTA are suspended unless and until he provides proof satisfactory to the Commissioner that he has taken and successfully completed the continuing education course on Trust Fund Accounting and Handling specified in Section 10170.5(a)(3) of the Code. The course must have been completed no earlier than one hundred twenty (120) days prior to the effective date of this Order, and proof must be submitted prior to the effective date of this Order, to prevent suspension of his license pursuant to this condition.
- 8. CHRIS PISCIOTTA shall, within six (6) months from the effective date of this Order, take and pass the Professional Responsibility Examination administered by the Department, including the payment of the appropriate examination fee. If he fails to satisfy this

1	condition, the Commissioner may order the suspension of all licenses and licensing rights of		
2	CHRIS PISCIOTTA until he passes the examination.		
3	III.		
4	All licenses and licensing rights of Respondent KATHERINE ANN		
5	JONES PISCIOTTA ("KATHERINE JONES PISCIOTTA") under the Real Estate Law are		
6	revoked; provided, however, a restricted real estate salesperson's license shall be issued to her		
7	pursuant to Section 10156.5 of the Code if he makes application therefore and pays to the		
8	Department the appropriate fee for the restricted license within 90 days from the effective date		
9	of this Decision.		
10	2. The restricted license issued to KATHERINE JONES PISCIOTTA shall		
11	be subject to all of the provisions of Section 10156.7 of the Code as to the following limitations,		
12	conditions and restrictions imposed under authority of Section 10156.6 of that Code:		
13	a. The restricted license issued to her may be suspended prior to		
14	hearing by Order of the Commissioner in the event of her conviction (including by plea of guilty		
15	or nolo contendere) to a crime which is substantially related to her fitness or capacity as a real		
16	estate licensee; and,		
17	b. The restricted license issued to her may be suspended prior to		
18	hearing by Order of the Commissioner on evidence satisfactory to the Commissioner that she		
19	has violated provisions of the California Real Estate Law, the Subdivided Lands Law,		
20	Regulations of the Real Estate Commissioner, or conditions attaching to the restricted license.		
21	3. KATHERINE JONES PISCIOTTA shall not be eligible to apply for the		
22	issuance of an unrestricted real estate license nor for removal of any of the conditions,		
23	limitations or restrictions of a restricted license until two (2) years have elapsed from the		
24	effective date of this Decision.		
25	4. Any restricted license issued to KATHERINE JONES PISCIOTTA		
26	pursuant to this Decision shall be suspended for a period of forty-five (45) days from the		
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issuance of the restricted license; provided, however, that forty-five (45) days of said suspension shall be stayed for two (2) years upon the following terms and conditions: .

- a. Respondent shall obey all laws, rules and regulations governing the rights, duties and responsibilities of a real estate licensee in the State of California; and
- b. That no final subsequent determination be made, after hearing or upon stipulation, that cause for disciplinary action occurred within two (2) years from the effective date of this Order. Should such a determination be made, the Commissioner may, in his discretion, vacate and set aside the stay order and re-impose all or a portion of the stayed suspension. Should no such determination be made, the stay imposed herein shall become permanent.
- 5. With the application for license, or with the application for transfer to a new employing broker, KATHERINE JONES PISCIOTTA shall submit a statement signed by the prospective employing real estate broker on a form approved by the Department which shall certify as follows:
- a. That the employing broker has read the Decision which is the basis for the issuance of the restricted license; and,
- b. That the employing broker will carefully review all transaction documents prepared by the restricted licensee and otherwise exercise close supervision over the licensee's performance of acts for which a license is required.
- 6. KATHERINE JONES PISCIOTTA shall, within nine (9) months from the effective date of this Decision, present evidence satisfactory to the Commissioner that she has, since the most recent issuance of an original or renewal real estate license, taken and successfully completed the continuing education requirements of Article 2.5 of Chapter 3 of the Real Estate Law for renewal of a real estate license. If she fails to satisfy this condition, the Commissioner may order the suspension of the restricted license until she presents such evidence. The Commissioner shall afford her the opportunity for hearing pursuant to the APA to present such evidence.

7. Notwithstanding any other provision of this Order, all licenses and licensing rights of KATHERINE JONES PISCIOTTA are suspended unless and until she provides proof satisfactory to the Commissioner that she has taken and successfully completed the continuing education course on Trust Fund Accounting and Handling specified in Section 10170.5(a)(3) of the Code. The course must have been completed no earlier than one hundred twenty (120) days prior to the effective date of this Order, and proof must be submitted prior to the effective date of this Order, to prevent suspension of her license pursuant to this condition.

8. KATHERINE JONES PISCIOTTA shall, within six (6) months from the effective date of this Order, take and pass the Professional Responsibility Examination administered by the Department, including the payment of the appropriate examination fee. If she fails to satisfy this condition, the Commissioner may order the suspension of all licenses and licensing rights of KATHERINE JONES PISCIOTTA until she passes the examination.

IV.

1. Respondents, jointly and severally, shall pay the sum of \$6,928.00 for the Commissioner's cost of the audit which led to this disciplinary action. Respondents shall pay such cost within sixty (60) days of receiving an invoice therefore from the Commissioner. The Commissioner may indefinitely suspend all licenses and licensing rights of Respondents pending a hearing held in accordance with Section 11500, et seq., of the Government Code, if payment is not timely made as provided for herein, or as provided for in a subsequent agreement between Respondents and the Commissioner. The suspension shall remain in effect until payment is made in full or until Respondents enter into an agreement satisfactory to the Commissioner to provide for payment, or until a decision providing otherwise is adopted following a hearing held pursuant to this condition.

2. Respondents, jointly and severally, shall pay the Commissioner's costs, not to exceed \$6,928.00, of any audit conducted pursuant to Section 10148 of the Code to determine if Respondents have corrected the violations described in the Determination of

Issues, above, and any other violations found in the audit which led to this disciplinary action. In calculating the amount of the Commissioner's reasonable cost, the Commissioner may use the estimated average hourly salary for all persons performing audits of real estate brokers, and shall include an allocation for travel time to and from the auditor's place of work.

Respondents shall pay such cost within sixty (60) days of receiving an invoice therefore from the Commissioner detailing the activities performed during the audit and the amount of time spent performing those activities. If Respondents fail to pay such cost within the sixty (60) days, the Commissioner may indefinitely suspend all licenses and licensing rights of Respondents under the Real Estate Law until payment is made in full or until Respondents enter into an agreement satisfactory to the Commissioner to provide for payment. Upon full payment, the indefinite suspension provided for in this paragraph shall be stayed.

V.

All licenses and licensing rights of Respondents, are indefinitely suspended unless or until Respondents, jointly and severally, pay the sum of \$1,094.58 for the Commissioner's reasonable cost of the investigation and enforcement which led to this disciplinary action. Said payment shall be in the form of a cashier's check or certified check made payable to the Real Estate Fund.

01-29-13 DATED

Annette E. Ferrante, Esq.
Counsel for Department of Real Estate

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I have read the Stipulation and Agreement and its terms are understood by me and are agreeable and acceptable to me. I understand that I am waiving rights given to me by the California Administrative Procedure Act (including but not limited to Sections 11506, 11508, 11509, and 11513 of the Government Code), and I willingly, intelligently, and voluntarily waive those rights, including the right of requiring the Commissioner to prove the allegations in the Accusation at a hearing at which I would have the right to cross-examine witnesses against me and to present evidence in defense and mitigation of the charges. Respondents can signify acceptance and approval of the terms and conditions of this Stipulation and Agreement by faxing a copy of the signature page, as actually signed by Respondents, to the Department at fax number (916) 227-9458. Respondents agree, acknowledge and understand that by electronically sending to the Department a fax copy of Respondents' actual signatures as they appear on the Stipulation and Agreement, that receipt of the faxed copy by the Department shall be as binding on Respondents as if the Department had received the original signed Stipulation and Agreement.

11-19-12 DATED	JULIAN AVILA MAN

ICIAS, Respondent

CHRIS ANTHONY PISCIOTTA, Respondent DATED

KATHERINE ANN JONES PISCIOTTA, Respondent

* * *

I have reviewed this Stipulation and Agreement and Order as to form and content and have advised my clients accordingly.

DATED

DATED

Roger D. Wintle, Esq., Attorney for Respondents CHRIS ANTHONY PISCIOTTA and KATHERINE ANN JONES PISCIOTTA

I have read the Stipulation and Agreement and its terms are understood by me and are agreeable and acceptable to me. I understand that I am waiving rights given to me by the California Administrative Procedure Act (including but not limited to Sections 11506, 11508, 11509, and 11513 of the Government Code), and I willingly, intelligently, and voluntarily waive those rights, including the right of requiring the Commissioner to prove the allegations in the Accusation at a hearing at which I would have the right to cross-examine witnesses against me and to present evidence in defense and mitigation of the charges.

Respondents can signify acceptance and approval of the terms and conditions of this Stipulation and Agreement by faxing a copy of the signature page, as actually signed by Respondents, to the Department at fax number (916) 227-9458. Respondents agree, acknowledge and understand that by electronically sending to the Department a fax copy of Respondents' actual signatures as they appear on the Stipulation and Agreement, that receipt of the faxed copy by the Department shall be as binding on Respondents as if the Department had received the original signed Stipulation and Agreement.

JULIAN AVILA MANCIAS, Respondent
Chin anchom Prot
CHRIS ANTHONY PISCIOTTA, Respondent
John Car Jones Presentas KATHERINE ANN JONES PISCIOTTA, Respondent
KATHERINE ANN JONES PISCIOTTA, Respondent

I have reviewed this Stipulation and Agreement and Order as to form and

content and have advised my clients accordingly.

DATED

Roger D. Wintle, Esq., Attorney for Respondents CHRIS ANTHONY PISCIOTTA and KATHERINE ANN JONES PISCIOTTA