MAY 237007 DEPAKIMENT OF KEAL ESTATE

## BEFORE THE DEPARTMENT OF REAL ESTATE STATE OF CALIFORNIA

In the Matter of the Accusation of ) I RICHARD TODD DE PONTE, )

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No. H-1557 FR

Respondent.

## ORDER GRANTING REINSTATEMENT OF LICENSE

On March 13, 2003, a Decision was issued herein revoking the real estate salesperson license of Respondent effective April 23, 2003, but granting Respondent the right to the issuance of a restricted real estate salesperson license. A restricted real estate salesperson license was issued to Respondent on April 23, 2003, and Respondent has operated as a restricted licensee since that time.

On September 15, 2006, Respondent petitioned for reinstatement of said real estate salesperson license, and the Attorney General of the State of California has been given notice of the filing of said petition.

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I have considered the petition of Respondent and the evidence and arguments in support thereof. Respondent has demonstrated to my satisfaction that Respondent meets the requirements of law for the issuance to Respondent of an unrestricted real estate salesperson license and that it would not be against the public interest to issue said license to Respondent.

NOW, THEREFORE, IT IS ORDERED that Respondent's
petition for reinstatement is granted and that a real estate
salesperson license be issued to Respondent if Respondent
satisfies the following conditions within nine (9) months from
the date of this Order:

Submittal of a completed application and payment of
 the fee for a real estate salesperson license.

<sup>15</sup> 2. Submittal of evidence of having, since the most
<sup>16</sup> recent issuance of an original or renewal real estate license,
<sup>17</sup> taken and successfully completed the continuing education
<sup>18</sup> requirements of Article 2.5 of Chapter 3 of the Real Estate Law
<sup>19</sup> for renewal of a real estate license.

This Order shall be effective immediately.

DATED:

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JEFF DAVI Real Estate Commissioner

1 2 3 4	Department of Real Estate P. O. Box 187000 Sacramento, CA 95818-7000 Telephone: (916) 227-0789 DEPARTMENT OF REALESTATE				
5 6 7					
, 8 9	BEFORE THE DEPARTMENT OF REAL ESTATE				
y 10	STATE OF CALIFORNIA				
11	In the Matter of the Accusation of ) No. H-1557 FRESNO				
12	RICHARD TODD DE PONTE, ) OAH No. N-2002110077				
13	Respondents. ) STIPULATION AND AGREEMENT				
14	jj				
15	It is hereby stipulated by and between RICHARD TODD				
16	DE PONTE (hereinafter "Respondent"), and his attorney of record				
17					
18	David A. Peters, Counsel for the Department of Real Estate, as				
19	follows for purpose of settling and disposing of the Accusation				
20	filed September 5, 2002, in this matter:				
21	1. All issues which were to be contested and all				
22	evidence which was to be presented by Complainant and Respondent				
23	at a formal hearing on the Accusation, which hearing was to be				
24	held in accordance with the provisions of the Administrative				
25	Procedure Act (APA) (Government Code Section 11500 et seq.),				
26	shall instead and in place thereof be submitted solely on the				
27	basis of the provisions of this Stipulation and Agreement.				
	H-1557 FRESNO - 1 - STIPULATION OF RICHARD TODD DE PONTE				

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Respondent has received, read and understands the
 Statement to Respondent, the Discovery Provisions of the APA,
 and the Accusation filed by the Department of Real Estate in
 this proceeding.

5 3. On September 18, 2002, Respondent filed his Notice of Defense pursuant to Section 11505 of the Government б Code for the purpose of requesting a hearing on the allegations 7 in the Accusation. Respondent hereby freely and voluntarily 8 withdraws his Notice of Defense. Respondent acknowledges that 9 by withdrawing said Notice of Defense he will thereby waive his 10 right to require the Commissioner to prove the allegations in 11 the Accusation at a contested hearing held in accordance with 12 the provisions of the APA and that he will waive other rights 13 afforded to him in connection with the hearing such as the right 14 to present evidence in defense of the allegations in the 15 16 Accusation and the right to cross-examine witnesses.

17 This Stipulation is based on the factual 4. 18 allegations contained in the Accusation. In the interests of expedience and economy, Respondent chooses not to contest these 19 allegations, but to remain silent and understands that, as a 20 result thereof, these factual allegations, without being 21 22 admitted or denied, will serve as a prima facie basis for the disciplinary action stipulated to herein. The Real Estate 23 Commissioner shall not be required to provide further evidence 24 to prove said factual allegations. 25

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H-1557 FRESNO

1 5. It is understood by the parties that the Real Estate Commissioner may adopt the Stipulation and Agreement as 2 her Decision in this matter, thereby imposing the penalty and 3 sanctions on Respondent's real estate license and license rights 4 as set forth in the below "Order". In the event that the 5 Commissioner in her discretion does not adopt the Stipulation 6 and Agreement, it shall be void and of no effect, and Respondent 7 8 shall retain the right to a hearing and proceeding on the Accusation under all the provisions of the APA and shall not be 9 bound by any admission or waiver made herein. 10

6. The Order or any subsequent Order of the Real
Estate Commissioner made pursuant to this Stipulation and
Agreement shall not constitute an estoppel, merger or bar to any
further administrative or civil proceedings by the Department of
Real Estate with respect to any matters which were not
specifically alleged to be causes for accusation in this
proceeding.

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## DETERMINATION OF ISSUES

<sup>19</sup> By reason of the foregoing stipulations, admissions <sup>20</sup> and waivers, and solely for the purpose of settlement of the <sup>21</sup> pending Accusation without a hearing, it is stipulated and <sup>22</sup> agreed that the following determination of issues shall be made:

The alleged conduct of Respondent, as described in the Accusation, constitutes cause for the suspension or revocation of the real estate license and license rights of Respondent under the provisions of Section <u>10177(d)</u> of the Business and

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H-1557 FRESNO

- 3 -

STIPULATION OF RICHARD TODD DE PONTE Professions Code in conjunction with Sections <u>10130</u> and <u>10137</u> of
 the Business and Professions Code.

## ORDER

#### Ι 4 The real estate salesperson license and all license 5 rights of Respondent RICHARD TODD DE PONTE under the Real Estate 6 Law are revoked; however, a restricted real estate salesperson 7 license shall be issued to Respondent pursuant to Section 8 10156.5 of the Business and Professions Code if Respondent makes q application therefor and pays to the Department of Real Estate 10 the appropriate fee for the restricted license within ninety 11 (90) days from the effective date of this Decision. 12 The restricted license issued to Respondent shall be subject to all 13 of the provisions of Section 10156.7 of the Business and 14 Professions Code and to the following limitations, conditions 15 and restrictions imposed under authority of Section 10156.6 of 16 that Code: 17

A. The restricted license issued to Respondent may be suspended prior to hearing by Order of the Real Estate Commissioner in the event of Respondent's conviction or plea of nolo contendere to a crime which is substantially related to Respondent's fitness or capacity as a real estate licensee.

B. The restricted license issued to Respondent may be suspended prior to hearing by Order of the Commissioner on evidence satisfactory to the Commissioner that Respondent has violated provisions of the California Real Estate Law, the ///

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STIPULATION OF RICHARD TODD DE PONTE

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1	Subdivided Lands Law, Regulations of the Real Estate			
2	Commissioner or conditions attaching to the restricted license.			
3	C. Respondent shall not be eligible to apply for the			
4	issuance of an unrestricted real estate license nor for the			
5	removal of any of the conditions, limitations or restrictions of			
6	the restricted license until two (2) years have elapsed from the			
7	effective date of this Decision.			
<sup>8</sup> D. Respondent shall submit with any applicati				
9	license under an employing broker, or any application for			
10	transfer to a new employing broker, a statement signed by the			
11	prospective employing broker on a form approved by the			
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14	of the Commissioner which granted the right to a			
15				
16	(2) That the employing broker will exercise close			
. 17	supervision over the performance by the			
18	restricted licensee relating to activities for			
19	which a real estate license is required.			
20	E. Respondent shall, within nine months from the			
21	effective date of this Decision, present evidence satisfactory			
22	to the Real Estate Commissioner that Respondent has, since the			
23				
24	license, taken and successfully completed the continuing			
25	education requirements of Article 2.5 of Chapter 3 of the Real			
26	Estate Law for renewal of a real estate license. If Respondent			
27 fails to satisfy this condition, the Commissioner may orde				
	H-1557 FRESNO - 5 - STIPULATION OF RICHARD TODD DE PONTE			

1 suspension of the restricted license until the Respondent 2 presents such evidence. The Commissioner shall afford Respondent the opportunity for a hearing pursuant to the 3 Administrative Procedure Act to present such evidence. 4 5 Respondent shall, within six (6) months from the F. effective date of this Decision, take and pass the Professional 6 Responsibility Examination administered by the Department 7 8 including the payment of the appropriate examination fee. If Respondent fails to satisfy this condition, the Commissioner may 9 order suspension of Respondent's license until Respondent passes 10 11 the examination. 12 Any restricted real estate license issued to G. Respondent pursuant to this Decision shall be suspended for 13 forty (40) days from the date of issuance of said restricted 14 license, however, said suspension shall be stayed upon the 15 condition that Respondent petitions pursuant to Section 10175.2 16 of the Business and Professions Code and pays a monetary penalty 17 pursuant to Section 10175.2 of the Business and Professions Code 18 19 and pays a monetary penalty pursuant to Section 10175.2 of the Business and Professions Code at a rate of \$250.00 per day for 20 each day of suspension stayed for a total monetary penalty of 21 22 \$10,000.00. 23 (1)Said payment shall be in the form of a cashier's check or certified check made payable to the Recovery Account of 24 the Real Estate Fund. Said check must be delivered to the 25 Department prior to the effective date of the Order in this 26 matter. 27 H-1557 FRESNO - 6 -STIPULATION OF RICHARD TODD DE PONTE

No further cause for disciplinary action against 1 (2)the real estate license of Respondent occurs within two (2) 2 years from the effective date of the Decision in this matter. 3 If Respondent fails to pay the monetary penalty 4 (3) in accordance with the terms and conditions of the Stipulation 5 and Agreement, the Commissioner may, without a hearing, order 6 the immediate execution of all or any part of the stayed 7 suspension in which event the Respondent shall not be entitled 8 to any repayment nor credit, prorated or otherwise, for money 9 paid to the Department under the terms of this Stipulation and 10 11 Agreement. 12 If Respondent pays the monetary penalty and if no (4)

<sup>13</sup> further cause for disciplinary action against the real estate <sup>14</sup> license of Respondent occurs within two (2) years from the <sup>15</sup> effective date the Stipulation and Agreement, the stay hereby <sup>16</sup> granted shall become permanent.

Any restricted real estate salesperson license
 issued to Respondent may be suspended or revoked for a violation
 by Respondent of any of the conditions attaching to the
 restricted license.

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H-1557 FRESNO

DAVID A. PETERS, Counsel DEPARTMENT OF REAL ESTATE

STIPULATION OF RICHARD TODD DE PONTE

P.10/11 WEINTRAUB LAW FIRM 9164461611 FEB-19-2003 15:40 10 ZUUS WED UI-30 FIL VA I.UV IN' OTACCHOMON 1 2 I have read the Stipulation and Agreement, and its 3 torms are understood by me and are agreeable and acceptable to 4 I understand that I am waiving rights given to me by the me. 5 California Administrative Procedure Act (including but not 4 limited to Sections 11506, 11508, 11509, and 11513 of the 7 Government Code), and I willingly, intelligently, and Ô, voluntarily waive those rights, including the right of requiring the Commissioner to prove the allegations in the 9 10 Accusation at a hearing at which I would have the right to 11 cross-examine witnesses against me and to present evidence in 12 defense and mitigation of the charges. 13 14 DATED RTCHARD TODD DE PONTE 15 Respondent 16 I have reviewed the Stipulation and Agreement as to 17 form and content and have advised my client accordingly. 19 19 LOUIS A. GONZALEZ, JR. 20 Attorney for Respondent 21 111 22 111 23 111 34 111 25 111 26 111 27 111 H-1557 FRESNO STIPULATION OF RICHARD TODD DE PONTE

P.C.D

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1	* * *			
2	The foregoing Stipulation and Agreement for			
3	Settlement is hereby adopted by the Real Estate Commissioner as			
· 4	her Decision and Order and shall become effective at 12 o'clock noon onAPRIL_23, 2003.			
· 5				
6	IT IS SO ORDERED March 13, 2003.			
7	PAULA REDDISH ZINNEMANN Real Estate Commissioner			
8	Rear Estate Commissioner			
9	Alm Rhiberton			
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12	BY: John R. Liberator			
. 14	Chief Deputy Commissioner			
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	H-1557 FRESNO - 9 - STIPULATION OF RICHARD TODD DE PONTE			

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1 2 3 4 5 6 7 8	Department of Real Estate P. O. Box 187000 Sacramento, CA 95818-7000 Telephone: (916) 227-0789 DEFARTMENT OF REAL ESTATE DEFORE THE DEPARTMENT OF REAL ESTATE				
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10	STATE OF CALIFORNIA				
10	In the Matter of the Accusation of )				
12	SANDAL COLLINS JOHNSON ) No. H-1557 FRESNO				
13	and RICHARD TODD DE PONTE, ) OAH No. N-2002110077				
14	Respondents. <u>STIPULATION AND AGREEMENT</u>				
15	It is hereby stipulated by and between SANDAL COLLINS				
16	JOHNSON (hereinafter "Respondent") and her attorney, Thomas C.				
17	Lasken, and the Complainant, acting by and through David A.				
18	Peters, Counsel for the Department of Real Estate; as follows,				
19	for the purpose of settling and disposing of the Accusation				
20	filed on September 5, 2002 (hereinafter "the Accusation").				
21	1. All issues which were to be contested and all				
22	evidence which was to be presented by Complainant and Respondent				
23	at a formal hearing on the Accusation, which hearing was to be				
24	held in accordance with the provisions of the Administrative				
25	Procedure Act ("APA"), shall instead and in place thereof be				
26	submitted solely on he basis of the provisions of this				
27	Stipulation and Agreement.				
	H-1557 FRESNO - 1 - STIPULATION OF SANDAL COLLINS JOHNSON				

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2. Respondent has received, read, and understands
 the Statement to Respondent, the Discovery Provisions of the APA
 and the Accusation filed by the Department of Real Estate in
 this proceeding.

5 3. On September 19, 2002, Respondent filed a Notice 6 of Defense pursuant to Section 11505 of the Government Code for 7 the purpose of requesting a hearing on the allegations in the 8 Accusation. Respondent hereby freely and voluntarily withdraws 9 said Notice of Defense. Respondent acknowledges that she understands that by withdrawing said Notice of Defense she will 10 11 thereby waive her right to require the Commissioner to prove the 12 allegations in the Accusation at a contested hearing held in accordance with the provisions of the APA and that Respondent 13 14 will waive other rights afforded to Respondent in connection 15 with the hearing such as the right to present evidence in defense of the allegations in the Accusation and the right to 16 17 cross-examine witnesses.

18 4. This Stipulation is based on the factual 19 allegations contained in the Accusation. In the interests of expedience and economy, Respondent chooses not to contest these 20 21 allegations, without being admitted or denied, will serve as a 22 prima facie basis for the disciplinary action stipulated to 23 herein. The Real Estate Commissioner shall not be required to 24 provide further evidence to prove said factual allegations. 25 111 26 111 27 111 H-1557 FRESNO - 2 -STIPULATION OF

SANDAL COLLINS JOHNSON

1 5. It is understood by the parties that the Real 2 Estate Commissioner may adopt the Stipulation and Agreement as her Decision in this matter, thereby imposing the penalty and 3 4 sanctions on Respondent's real estate license and license rights 5 as set forth in the below "Order". In the event that the Commissioner in her discretion does not adopt the Stipulation 6 7 and Agreement, it shall be void and of no effect, and Respondent 8 shall retain the right to a hearing and proceeding on the Accusation under all the provisions of the APA and shall not be 9 10 bound by any admission or waiver made herein.

6. The Order or any subsequent Order of the Real
Estate Commissioner made pursuant to this Stipulation and
Agreement shall not constitute and estoppel, merger or bar to
any further administrative or civil proceedings by the
Department of Real Estate with respect to any matters which were
not specifically alleged to be causes for accusation in this
proceeding.

## DETERMINATION OF ISSUES

By reason of the foregoing stipulations, admissions and waivers, and solely for the purpose of settlement of the pending Accusation without hearing, it is stipulated and agreed that the following determination of issues shall be made:

The conduct described in the Accusation, constitutes cause for the suspension or revocation of the real estate broker license and license rights of Respondent under he provisions of Section <u>10177(h)</u> of the Business and Professions Code.

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H-1557 FRESNO

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STIPULATION OF SANDAL COLLINS JOHNSON

1 ORDER 2 All licenses and license rights of Respondent are Α. suspended for thirty (30) days from the effective date of this 3 Decision; provided, however, that the suspension shall be stayed 4 5 upon the following terms and conditions: 6 Respondent shall obey all laws, rules and 1. 7 regulations governing the rights, duties and responsibilities of 8 a real estate licensee in the State of California. 9 If the Real Estate Commissioner determines that 2. 10 further cause for disciplinary actions against Respondent has 11 occurred within two (2) years from the effective date of the 12 Decision, the stay of suspension hereby granted to Respondent, 13 or such portion of the stay as the Real Estate Commissioner 14 shall deem appropriate, shall be vacated. 15 16 Α. PETERS. Counsel 17 DEPARTMENT OF REAL ESTATE 18 19 I have read the Stipulation and Agreement, have 20 discussed it with my counsel and its terms are understood by me 21 and are agreeable and acceptable to me. I understand that I am 22 waiving rights given to me by the California Administrative 23 Procedure Act (including but not limited to Sections 11506, 11508, 11509, and 11513 of the Government Code), and I 24 25 willingly, intelligently, and voluntarily waive those rights, including the right of requiring the Commissioner to prove the 26 27 allegations in the Accusation at a hearing at which I would

H-1557 FRESNO

- 4 -

STIPULATION OF SANDAL COLLINS JOHNSON

have the right to cross-examine witnesses against me and to 1 present evidence in defense and mitigation of the charges. 2 3 4 SANDAL COLLINS JOHNSON 5 Respondent 6 I have reviewed the Stipulation and Agreement as to 7 form and content and have advised my client accordingly. 8 me ( Jehney 19,2003 9 THOMAS C. LASKEN 10 Attorney for Respondent 11 ۱ 12 The foregoing Stipulation and Agreement for Settlement is hereby adopted by the Real Estate Commissioner as 13 14 her Decision and Order and shall become effective at 12 o'clock March 28 noon on 15 2003. 1 m C 16 IT IS SO ORDERED 2003. 17 PAULA REDDISH ZINNEMANN Real/Estate Commissioner 18 19 20 21 22 23 24 25 26 27 H-1557 FRESNO - 5 -STIPULATION OF SANDAL COLLINS JOHNSON

## BEFORE THE DEPARTMENT OF REAL ESTATE NUV 1 6 ZULL STATE OF CALIFORNIA

1. j

In the Matter of the Accusation of

# SANDAL COLLINS JOHNSON, and RICHARD TODD DE PONTE,

DEPARTMENT OF REALESTATE

Case No. H-1557 FRESNO

OAH No. N-2002110077

Respondents

## NOTICE OF HEARING ON ACCUSATION

To the above named respondents:

You are hereby notified that a hearing will be held before the Department of Real Estate at

## THE OFFICE OF ADMINISTRATIVE HEARINGS

#### 560 J STREET, SUITES 340/360

## SACRAMENTO, CALIFORNIA 95814

on FEBRUARY 24 & 25, 2003, at the hour of 9:00 A.M., or as soon thereafter as the matter can be heard, upon the Accusation served upon you. If you object to the place of hearing, you must notify the presiding administrative law judge of the Office of Administrative Hearings within ten (10) days after this notice is served on you. Failure to notify the presiding administrative law judge within ten days will deprive you of a change in the place of the hearing.

You may be present at the hearing. You have the right to be represented by an attorney at your own expense. You are not entitled to the appointment of an attorney to represent you at public expense. You are entitled to represent yourself without legal counsel. If you are not present in person nor represented by counsel at the hearing, the Department may take disciplinary action against you based upon any express admission or other evidence including affidavits, without any notice to you.

You may present any relevant evidence and will be given full opportunity to cross-examine all witnesses testifying against you. You are entitled to the issuance of subpenas to compel the attendance of witnesses and the production of books, documents or other things by applying to the Department of Real Estate.

The hearing shall be conducted in the English language. If you want to offer the testimony of any witness who does not proficiently speak the English language, you must provide your own interpreter and pay his or her costs. The interpreter must be certified in accordance with Sections 11435.30 and 11435.55 of the Government Code.

1 3.14

Dated: NOVEMBER 16, 2002

DEPARTMENT OF REAL ESTATE Bν DAVID A. PETERS, COUNSEL RE 501 (Rev. 8/97)

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1	DAVID A. PETERS, Counsel (SBN 99528)				
2	Department of Real Estate P. O. Box 187000 Sacramento, CA 95818-7000 SEP -5 2002				
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4	Telephone: (916) 227-0789 -or- (916) 227-0781 (Direct)				
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8	BEFORE THE DEPARTMENT OF REAL ESTATE				
9	STATE OF CALIFORNIA				
10	· * * *				
11	In the Matter of the Accusation of ) ) No. H-1557 FRESNO				
12	SANDAL COLLINS JOHNSON, and ) RICHARD TODD DE PONTE, ) <u>ACCUSATION</u>				
13	Respondents.				
14	) · · · · · · · · · · · · · · · · · · ·				
15	The Complainant, Charles W. Koenig, a Deputy Real				
16	Commissioner of the State of California, for cause of accusation				
17	against SANDAL COLLINS JOHNSON dba Jason Properties (hereinafter				
18	"Respondent JOHNSON") and RICHARD TODD DE PONTE (hereinafter				
19	"Respondent DE PONTE") is informed and alleges as follows:				
20	I				
21	The Complainant, John Sweeney, a Deputy Real Estate				
22	Commissioner of the State of California, makes this accusation				
23	in his official capacity.				
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2 Respondent JOHNSON is licensed and/or has license rights under the Real Estate Law (Part 1 of Division 4 of the 3 Business and Professions Code) (hereinafter "the Code") as a real 4 5 estate broker. 6 III 7 Respondent DE PONTE is licensed and/or has license 8 rights under the Code as a real estate salesperson. 9 IV 10 Beginning on or about December 24, 1998 and continuing 11 through on or about October 1, 1999, Respondent DE PONTE was in 12

the employ of Respondent JOHNSON. Beginning on or about October
 26, 1999 through on or about August 8, 2000, Respondent DE PONTE
 was in the employ of real estate broker James Richard Corn.

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16 Beginning on or after September 7, 1999 and continuing 17 through on or after December 17, 1999, Respondent DE PONTE a 18 real estate salesperson, using the name DePonte Company, while 19 in the employ of Respondent JOHNSON from December 24, 1999 through October 1, 1999, and while in the employ of James 20 21 Richard Corn from October 26, 1999 through December 17, 1999, 22 engaged in the business of, acted in the capacity of, advertised 23 or assumed to act as a real estate broker in the State of 24 California within the meaning of Section 10131(a) of the Code, 25 including the operation and conduct of a real estate business 26 with the public wherein, on behalf of others for compensation or 27 in expectation of compensation, Respondent JOHNSON sold or

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1	offered to sell, bought or offered to buy, solicited prospective					
2	sellers or purchasers of, solicited or obtained listings of, or					
3		he purchase, sale or excha				
4		VI				
5	Sa	Said real estate brokerage activities conducted by				
6		E PONTE as described in Pa				
7	but is not 1	imited to, the following t	ransactions:			
8	DATE	BUYERS	PROPERTY			
9	09/09/99	Manuel & Soledad Flores	1260 Valencia Drive			
10			Tracy California			
11	09/22/99	Narinder & Jaswinder	1200 Valencia Drive			
12		Bains	Tracy, California			
13	09/30/99	Amos & Yong Ford	1253 Plaza Court			
14			Tracy, California			
15	11/12/99	Sherlly & Rajeshwar	2249 Ogden Sannazor Dr.			
16		Naidu	Tracy, California			
. 17	12/01/99	Julio & Rosalina	1250 Valencia Drive			
18		Franceschi	Tracy, California			
19	12/01/99	Manuel & Regina Reyes	1243 Plaza Court			
20			Tracy, California			
21	12/17/99	Stephen Elwell	1221 Valencia Drive			
22			Tracy, California			
23	VII					
24	Beginning on or about September 7, 1999 and continuir					
. 25	through on or about December 17, 1999, in connection with the real estate sales transactions described in Paragraph VI above,					
26						
27	Respondent DE PONTE accepted compensation for acts for which a					
		- 3 -				

1 real estate license was required from someone other than the 2 broker under whom he was licensed.

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## VIII

Beginning on or about September 7, 1999 and continuing through on or about December 17, 1999, in connection with the real estate sales transactions described in Paragraph VI above, Respondent DE PONTE paid or caused to be paid compensation to real estate salespersons for acts requiring a real estate license and not through the real estate broker under whom said salesperson was licensed.

IX

12 Within the three-year period immediately preceding the 13 filing of this Accusation and continuing through on or about 14 October 1, 1999, Respondent JOHNSON failed to exercise 15 reasonable supervision and control over the licensed activities 16 of Respondent DE PONTE, by permitting Respondent DE PONTE, a 17 real estate salesperson, to conduct the real estate brokerage 18 activities described in Paragraphs V and VI above. Respondent 19 JOHNSON failed to exercise reasonable supervision over the activities of Respondent JOHNSON's salesperson, Respondent DE 20 21 PONTE, by failing to establish adequate policies, rules, 22 procedures and systems to review, oversee, inspect and manage 23 said activities.

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The acts and/or omissions of Respondents JOHNSON and DE PONTE described above are grounds for the suspension or revocation of the licenses and/or license rights of Respondents

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JOHNSON and DE PONTE under the following sections of the Code and of Title 10, California Code of Regulations (hereinafter "the Regulations"):

4 (1) As to Paragraphs V and VI, under Section 10177 (d)
5 of the Code in conjunction with Section 10130 of the Code, as to
6 Respondent DE PONTE;

7 (2) As to Paragraphs VII and VIII, under Section
8 10137 of the Code, as to Respondent DE PONTE; and

9 (3)As to Paragraph IX, under Section 10177(h) of the 10 Code and Section 2725 of the Regulations in conjunction with Section 10177(d) of the Code, as to Respondent JOHNSON. 11 In the alternative, the acts and/or omissions of Respondent JOHNSON 12 13 described in Paragraph IX are grounds for the suspension or revocation of Respondent JOHNSON's license and/or license rights 14 15 under Section 10177(g) of the Code.

WHEREFORE, Complainant prays that a hearing be
conducted on the allegations of this Accusation and that upon
proof thereof a decision be rendered imposing disciplinary
action against all licenses and license rights of Respondents,
under the Real Estate Law (Part 1 of Division 4 of the Business
and Professions Code) and for such other and further relief as
may be proper under other provisions of law.

W. KOENIG

Deputy Real Estate Commissioner

<sup>26</sup> Dated at Oakland, California,
27 this \_\_\_\_\_ day of September, 2002.

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