Department of Real Estate 320 West 4th Street, Ste. 350 Los Angeles, California 90013-1105 Telephone: (213) 576-6982



JUL 2 6 2022

DEPT. OF REAL ESTATE
By

BEFORE THE DEPARTMENT OF REAL ESTATE

## STATE OF CALIFORNIA

It is hereby stipulated by and between Respondent KATHLEEN RENEE O'BRIEN a.k.a. Kathleen Renee Sunshine O'Brien ("O'BRIEN"), represented by Steven D. Spile, Esq./Spile, Leff & Goor, LLP, and the Complainant, acting by and through Julie L. To, Counsel for the Department of Real Estate ("Department" or "DRE"), as follows for the purpose of settling and disposing of the Accusation ("Accusation") filed on September 7, 2021, in Case No. H-42106 LA, in this matter.

1. All issues which were to be contested and all evidence which was to be presented by Complainant and Respondent at a formal hearing on the Accusation, which hearing was to be held in accordance with the provisions of the Administrative Procedure Act ("APA"),

DRE Stipulation & Agreement: Kathleen Renee O'Brien, H-42106 LA

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shall instead and in place thereof be submitted solely on the basis of the provisions of this Stipulation and Agreement ("Stipulation").

- 2. Respondent has received, read and understands the Statement to Respondent, the Discovery Provisions of the APA and the Accusation filed by the Department of Real Estate ("Department") in this proceeding.
- 3. On September 16, 2021, Respondent timely filed her Notice of Defense pursuant to Section 11506 of the Government Code for the purpose of requesting a hearing on the allegations in the Accusation. Respondent hereby freely and voluntarily withdraws said Notice of Defense. Respondent acknowledges that she understands that by withdrawing said Notice of Defense she thereby waives her right to require the Commissioner to prove the allegations in the Accusation at a contested hearing held in accordance with the provisions of the APA and that she will waive other rights afforded to her in connection with the hearing such as the right to present evidence in her defense and the right to cross-examine witnesses.
- 4. This Stipulation is based on the factual allegations contained in the Accusation. In the interest of expedience and economy, Respondent chooses not to contest these allegations, but to remain silent, and understands that, as a result thereof, these factual allegations, without being admitted or denied, will serve as a prima facie basis for the disciplinary action stipulated to herein. The Real Estate Commissioner shall not be required to provide further evidence to prove said factual allegations.
- 5. This Stipulation is made for the purpose of reaching an agreed disposition of this proceeding and is expressly limited to this proceeding and any other proceeding or case in which the Department or another licensing agency of this state, another state, or if the federal government is involved, and otherwise shall not be admissible in any other criminal or civil proceeding.

6. It is understood by the parties that the Real Estate Commissioner may adopt this Stipulation as the Commissioner's Decision in this matter, thereby imposing the penalty and sanctions on Respondent's real estate license(s) and license rights as set forth in below "Order." In the event that the Commissioner in his discretion does not adopt the Stipulation and Agreement, the Stipulation shall be void and of no effect and Respondent shall retain the right to a hearing and proceeding on the Accusation under the provisions of the APA and shall not be bound by any admission or waiver made herein. 7. The Order or any subsequent Order of the Real Estate Commissioner made pursuant to this Stipulation shall not constitute an estoppel, merger or bar to any further administrative or civil proceedings by the Department of Real Estate with respect to any matters which were not specifically alleged to be causes for Accusation in this proceeding but do constitute a bar, estoppel and merger as to any allegations actually contained in the Accusations

8. Respondent understands that by agreeing to this Stipulation, Respondent agrees to pay for of the Commissioner's cost of the investigation and enforcement which resulted in the determination that Respondent committed the violations found in the Determination of Issues, pursuant to Code Section 10106. The total amount of said investigation and enforcement costs incurred by the Department of Real Estate is \$2,655.00 (comprised of \$1,647.00 in investigation costs and \$1,008.00 in enforcement costs). Respondent agrees to pay, pursuant to Code Section 10106, the amount \$2,655.00.

## **DETERMINATION OF ISSUES**

By reason of the foregoing stipulations, admissions and waivers, and solely for the purpose of settlement of the pending Accusation without a hearing, it is stipulated and agreed that the following determination of issues shall be made:

The conduct, acts or omissions of Respondent, as described in Paragraph 4, herein

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1 above, are in violation of the Real Estate Law pursuant to: Code Section 10140, and is basis for the suspension or revocation of the license and license rights of O'BRIEN pursuant to Code 2 3 Section10177(g). 4 ORDER 5 WHEREFORE, THE FOLLOWING ORDER is hereby made: 6 Ĭ. 7 All licenses and licensing rights of Respondent KATHLEEN RENEE O'BRIEN 8 under the Real Estate Law are suspended for a period of sixty (60) days from the effective date of 9 this Decision and Order; provided, however, that: 10 1. All sixty (60) days of said suspension shall be stayed for one (1) year upon the 11 following terms and conditions: 12 a) Respondent shall obey all laws, rules and regulations governing the 13 rights, duties and responsibilities of a real estate licensee in the State of 14 California; and, 15 b) That no final subsequent determination be made, after hearing or upon 16 stipulation, that cause of disciplinary action occurred within one (1) year 17 from the effective date of this Decision and Order. Should such a 18 determination be made, the Commissioner may, in his discretion, vacate 19 and set aside the stay order and reimpose all or a portion of the stayed 20 suspension. Should no such determination be made, the stay imposed 21 herein shall become permanent. 22 2. All licenses and licensing rights of Respondent are indefinitely suspended 23 unless or until Respondent pays pay the Commissioner's reasonable cost of the investigation and 24 enforcement which led to this disciplinary action; said costs total \$2,655.00 (comprised of 25 \$1,647.00 in investigation costs and \$1,008.00 in enforcement costs). Pursuant to Code Section 26

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10106, Respondent agrees to pay the amount \$2,655.00. Respondent's payment shall be in the 1 2 form of a cashier's check or certified check made payable to the Department of Real Estate. The 3 investigation and enforcement costs must be delivered to: Department of Real Estate, Flag Section, P.O. Box 137013, Sacramento, CA 95813-7013, prior to the effective date of this 4 5 Decision and Order. DATED: 6-30-22 б Julie L. To, Counsel for 7 Department of Real Estate 8 9 Π. 10 EXECUTION OF THE STIPULATION 11 I have read the Stipulation and Agreement. Its terms are understood by me and 12 are agreeable and acceptable to me. I understand that I am waiving rights given to me by the 13 California Administrative Procedure Act (including but not limited to Sections 11506, 11508, 14 11509 and 11513 of the Government Code), and I willingly, intelligently and voluntarily waive 15 those rights, including the right of requiring the Commissioner to prove the allegations in the

and to present evidence in defense and mitigation of the charges.

## III. MAILING AND FACSIMILE

Accusation at a hearing at which I would have the right to cross-examine witnesses against me

Respondent can signify acceptance and approval of the terms and conditions of this Stipulation and Agreement by sending a hard copy of the original signed signature page of the Stipulation herein to: Julie L. To, Legal Section, Department of Real Estate, 320 W. Fourth St., Suite 350, Los Angeles, California 90013-1105. In the event of time constraints before an administrative hearing, Respondent can signify acceptance and approval of the terms and conditions of this Stipulation and Agreement by e-mailing a scanned copy of the signature page,

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1	as actually signed by Respondent, to the Department counsel assigned to this case. Respondent
2	agrees, acknowledges, and understands that by electronically sending to the Department a scan of
3	Respondent's actual signature as it appears on the Stipulation and Agreement, that receipt of the
4	scan by the Department shall be binding on Respondent as if the Department had received the
5	original signed Stipulation and Agreement.
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7 8	DATED: 6-29-22 KATHLEEN RENEE O'BRIEN, Respondent
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10	* * *
11	I have reviewed the Stipulation and Agreement as to form and have advised my
12	client accordingly.
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14	DATED: 6-29-2022
15	Steven D. Spile, Attorney for Respondent
16	* * *
17	The foregoing Stipulation and Agreement is hereby adopted as my Decision as to
18	Respondent KATHLEEN RENEE O'BRIEN, and shall become effective at 12 o'clock noon on
19	AUG 2 5 2022 , 2022.
20	IT IS SO ORDERED 7, 27, 22, 2022.
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22	REAL ESTATE COMMISSIONER
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24	DECUBBRANCINE
25	DOUGLAS R. McCAULEY
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