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8	BEFORE THE DEPARTMENT OF REAL ESTATE
9	STATE OF CALIFORNIA
10	* * *
11	In the Matter of the First Amended ) Case No. H-6935 SAC
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13	NEW BEGINNINGS FINANCIAL ) & REAL ESTATE, ) <u>STIPULATION AND AGREEMENT</u>
14	and JAMIE DALE BLOMBERG,
15	Respondents.
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17	It is hereby stipulated by and between Respondents NEW BEGINNINGS
18	FINANCIAL & REAL ESTATE ("NEW BEGINNINGS") and JAMIE DALE BLOMBERG
19	("BLOMBERG"), acting by and through their counsel Joshua A. Rosenthal, and the
20	Complainant, acting by and through Jason D. Lazark, Counsel for the Department of Real
21	Estate, as follows for the purpose of settling and disposing of the First Amended Accusation
22	filed on October 13, 2020, in this matter:
23	1. All issues which were to be contested and all evidence which was to be
24	presented by Complainant and Respondents NEW BEGINNINGS, and BLOMBERG
25	(collectively referred to herein as "Respondents") at a formal hearing on the First Amended
26	Accusation, which hearing was to be held in accordance with the provisions of the
27	Administrative Procedure Act ("APA"), shall instead and in place thereof be submitted solely
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on the basis of the provisions of this Stipulation and Agreement In Settlement and Order
 ("Stipulation and Agreement").

2. Respondents have received, read and understand the Statement to
4 Respondent, the Discovery Provisions of the APA and the First Amended Accusation filed by
5 the Department of Real Estate in this proceeding.

6 3. Respondents filed Notices of Defense pursuant to Section 11505 of the 7 Government Code for the purposes of requesting a hearing on the allegations in the First 8 Amended Accusation. Respondents hereby freely and voluntarily withdraw said Notices of 9 Defense. Respondents acknowledge that they understand that by withdrawing said Notices of 10 Defense they will thereby waive their rights to require the Real Estate Commissioner 11 ("Commissioner") to prove the allegations in the First Amended Accusation at a contested 12 hearing held in accordance with the provisions of the APA, and that they will waive other 13 rights afforded to them in connection with the hearing such as the right to present evidence in 14 defense of the allegations in the First Amended Accusation and the right to cross-examine 15 witnesses.

4. Respondents, pursuant to the limitations set forth below, hereby admit that
the factual allegations as set forth in the First Amended Accusation filed in this proceeding are
true and correct and the Commissioner shall not be required to provide further evidence of such
allegations.

5. This Stipulation and Agreement is made for the purpose of reaching an
agreed disposition of this proceeding and is expressly limited to this proceeding and any other
proceeding or case in which the Department, the state or federal government, any agency of
this state, or an agency of another state is involved.

6. It is understood by the parties that the Real Estate Commissioner may adopt
the Stipulation and Agreement as his Decision in this matter, thereby imposing the penalties
and sanctions on Respondents<sup>\*</sup> real estate licenses and license rights as set forth in the below
"Order." In the event that the Commissioner in his discretion does not adopt the Stipulation

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1	and Agreement, it shall be void and of no effect, and Respondents shall retain the right to a
2	hearing and proceeding on the First Amended Accusation under all the provisions of the APA
3	and shall not be bound by any admission or waiver made herein.
4	7. The Order or any subsequent Order of the Real Estate Commissioner made
5	pursuant to this Stipulation and Agreement shall not constitute an estoppel, merger or bar to
6	any further administrative or civil proceedings by the Department with respect to any matters
7	which were not specifically alleged to be causes for accusation in this proceeding.
8	8. Respondents understand that hy accusation in this proceeding.
9	8. Respondents understand that by agreeing to this Stipulation and Agreement, Respondents agree to be responsible for jointly and severally paying, pursuant to Section 10106
10	of the Business and Professions Code ("the Code"), the costs of the investigation and
11	enforcement of this case which resulted in the determination that Respondents committed the
12	violations found in the Determination of Issues. The amount of such costs is \$7,793.68.
13	* * *
14	DETERMINATION OF ISSUES
15	By reason of the foregoing stipulations, admissions and waivers, and solely for
16	the purpose of settlement of the pending First Amended Accusation without a hearing, it is
17	stipulated and agreed that the following determination of issues shall be made:
18	I.
19	The acts and omissions of NEW BEGINNINGS, as described in the First
20	Amended Accusation, are grounds for the suspension or revocation of the licenses and license
21	rights of NEW BEGINNINGS under the provisions of Sections 10166.051, 10166.07, 10176(g),
22	10176(i), 10177(d), 10177(g), 10177(j), 10232.4, 10232.45, 10232.5, 10234(a), 10234(d),
23	10236.7, 10238(a), 10238(f)(1), 10238(h)(3), 10238(k), and 10240 of the Code, and Sections
24	2843, and 2841.5 of Title 10, California Code of Regulations ("the Regulations").
25	П.
26	The acts and omissions of BLOMBERG, as described in the First Amended
27	Accusation, are grounds for the suspension or revocation of the licenses and license rights of
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1 BLOMBERG under the provisions of Sections 10159.2, 10166.051, 10166.07, 10176(g), 2 10176(i), 10177(d), 10177(g), 10177(h), 10177(j), 10232.4, 10232.45, 10232.5, 10234(a), 3 10234(d), 10236.7, 10238(a), 10238(f)(1), 10238(h)(3), 10238(k), and 10240 of the Code, and 4 Sections 2725, 2843, and 2841.5 of the Regulations. 5 <u>ORDER</u> б I. AS TO NEW BEGINNINGS 7 All licenses and licensing rights of NEW BEGINNINGS under the Real Estate 8 Law are suspended for a period of one hundred twenty (120) days from the effective date of this 9 Order; provided, however, that: 10 1. Thirty (30) days of said suspension shall be stayed upon the condition that NEW BEGINNINGS petitions pursuant to Section 10175.2 of the Code and pays a monetary 11 12 penalty pursuant to Section 10175.2 of the Code, at a rate of \$100 for each day of the suspension. 13 for a total monetary penalty of \$3,000. 14 Said payment shall be in the form of a cashier's check made payable to a. the Department of Real Estate. Said check must be delivered to the Department of Real Estate. 15 16 Flag Section at P.O. Box 137013, Sacramento, CA 95813-7013, prior to the effective date of this 17 Order. 18 No further cause for disciplinary action against the real estate license b. 19 of NEW BEGINNINGS occurs within two (2) years from the effective date of the Order in this 20 matter. 21 If NEW BEGINNINGS fails to pay the monetary penalty in C. 22 accordance with the terms and conditions of the Decision, the Commissioner may, without a 23 hearing, order the immediate execution of all or any part of the stayed suspension, in which 24 event, NEW BEGINNINGS shall not be entitled to any repayment nor credit, prorated or 25 otherwise, for money paid to the Department under the terms of this decision. 26 đ. If NEW BEGINNINGS pays the monetary penalty, and if no further 27 cause for disciplinary action against the real estate license of NEW BEGINNINGS occurs - 4 -

1 within two (2) years from the effective date of the Decision herein, then the stay hereby 2 granted shall become permanent.

2. The remaining ninety (90) days of said suspension shall also be stayed for two 4 (2) years upon the following terms and conditions:

5 NEW BEGINNINGS shall obey all laws, rules and regulations a. 6 governing the rights, duties and responsibilities of a real estate licensee in the State of 7 California, and

8 That no final subsequent determination be made, after hearing or Ъ. 9 upon stipulation, that cause for disciplinary action occurred within two (2) years from the 10 effective date of this Order. Should such a determination be made, the Commissioner may, in his discretion, vacate and set aside the stay order and reimpose all or a portion of the stayed 11 12 suspension. Should no such determination be made, the stay imposed herein shall become 13 permanent.

II. AS TO BLOMBERG

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All licenses and licensing rights of BLOMBERG under the Real Estate Law are 16 suspended for a period of one hundred twenty (120) days from the effective date of this Order; 17 provided, however, that: 18

1. Thirty (30) days of said suspension shall be stayed upon the condition that 19 BLOMBERG petitions pursuant to Section 10175.2 of the Code and pays a monetary penalty 20 pursuant to Section 10175.2 of the Code, at a rate of \$100 for each day of the suspension, for a 21 total monetary penalty of \$3,000.

22 Said payment shall be in the form of a cashier's check made payable to a. 23 the Department of Real Estate. Said check must be delivered to the Department of Real Estate, 24 Flag Section at P.O. Box 137013, Sacramento, CA 95813-7013, prior to the effective date of this 25 Order.

26 Ъ. No further cause for disciplinary action against the real estate license 27 of BLOMBERG occurs within two (2) years from the effective date of the Order in this matter.

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If BLOMBERG fails to pay the monetary penalty in accordance with c. the terms and conditions of the Decision, the Commissioner may, without a hearing, order the 2 immediate execution of all or any part of the stayed suspension, in which event, BLOMBERG 3 shall not be entitled to any repayment nor credit, prorated or otherwise, for money paid to the 4 5 Department under the terms of this decision.

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6 If BLOMBERG pays the monetary penalty, and if no further cause d, 7 for disciplinary action against the real estate license of BLOMBERG occurs within two (2) 8 years from the effective date of the Decision herein, then the stay hereby granted shall become 9 permanent.

2. The remaining ninety (90) days of said suspension shall also be stayed for two 11 (2) years upon the following terms and conditions:

BLOMBERG shall obey all laws, rules and regulations governing the a. 13 rights, duties and responsibilities of a real estate licensee in the State of California, and 14 That no final subsequent determination be made, after hearing or b. upon stipulation, that cause for disciplinary action occurred within two (2) years from the 15 effective date of this Order. Should such a determination be made, the Commissioner may, in 16 17 his discretion, vacate and set aside the stay order and reimpose all or a portion of the stayed 18 suspension. Should no such determination be made, the stay imposed herein shall become 19 permanent.

20 3. BLOMBERG shall, within nine (9) months from the issuance of the Order. 21 take and pass the Professional Responsibility Examination administered by the Department, 22 including the payment of the appropriate examination fee. All licenses and licensing rights of 23 BLOMBERG shall be indefinitely suspended unless or until BLOMBERG passes the 24 examination. In the event that access to the location for taking the Professional Responsibility 25 Examination is closed during normal business hours, the Department shall extend the time for 26 taking and passing the Professional Responsibility Examination by the same amount of time that 27 access to the test location was closed.

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1 III. AS TO NEW BEGINNINGS AND BLOMBERG JOINTLY AND SEVERALLY 2 All licenses and licensing rights of Respondents are indefinitely suspended 3 unless or until Respondents, jointly and severally, pay the sum of \$7,793.68 for the 4 Commissioner's reasonable cost of the investigation and enforcement which led to this 5 disciplinary action. Said payment shall be in the form of a cashier's check or certified check 6 made payable to the Real Estate Fund. The investigative and enforcement costs must be 7 delivered to the Department of Real Estate, Flag Section at P.O. Box 137013, Sacramento, CA 8 95813-7013, prior to the effective date of this Decision and Order. 9 10 11 DATED Counsel 12 Department of Real Estate 13 \* \* \* 14 Respondents have read the Stipulation and Agreement in Settlement and Order, 15 discussed it with their counsel, where appropriate, and its terms are understood by them and 16 are agreeable and acceptable to them. Respondents understand that they are waiving rights 17 given to them by the California APA (including but not limited to Sections 11506, 11508, 18 11509 and 11513 of the Government Code), and willingly, intelligently, and voluntarily waive 19 those rights, including the right of requiring the Commissioner to prove the allegations in the First Amended Accusation at a hearing at which Respondents would have the right to cross-20 21 examine witnesses against them and to present evidence in defense and mitigation of the 22 charges. 23 Respondents further agree to send the original signed Stipulation and 24 Agreement by mail to the following address no later than one (1) week from the date the 25 Stipulation and Agreement is signed by me and my attorney: Department of Real Estate, Legal 26 Section, P.O. Box 137007, Sacramento, California 95813-7007. 27 111

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1 Respondents further understand and agree that if they fail to return the original 2 signed Stipulation and Agreement by the due date, Complainant retains the right to set this 3 matter for hearing. 4 5 <u>10-14-20</u> DATED 6 JAMIE DALE BLOMBERG Designated Officer, 7 NEW REGIMNINGS FINANCIAL & REAL ESTATE 8 Respondent 9 10-14-20 10 DATED JAMJE DALE BLOMBERG, 11 Respondent 12 13 I have reviewed the Stipulation and Agreement as to form and content and have advised my client accordingly. 14 15 10-19-20 16 DATED JOSHUA A. ROSENTHAL, 17 Attorney for Respondents 18 NEW BEGINNINGS FINANCIAL & REAL ESTAE, and 19 JAMIE DALE BLOMBERG 20 21 The foregoing Stipulation and Agreement is hereby adopted as my Decision in 22 this matter and shall become effective at 12 o'clock noon on MAR 1 9 2021 23 IT IS SO ORDERED 1.7.2/ , 2020, 24 25 REAL ESTATE COMMISSIONER 26 DOUGLAS R. McCAULEY 27 - 8 -