## FILED

NOV 0 2 2018

DEPARTMENT OF REAL ESTATE

By D. YL. CLOOLS

## BEFORE THE DEPARTMENT OF REAL ESTATE STATE OF CALIFORNIA

\* \* \*

In the Matter of the Accusation of

ROBERT VINCENT BRENNAN,

Respondent.

No. H-6652 SAC

STIPULATION AND
AGREEMENT

It is hereby stipulated by and between ROBERT VINCENT BRENNAN ("Respondent") and his attorney, Robert F. Hahn, and the Complainant, acting by and through Truly Sughrue, Counsel for the Department of Real Estate (Department), as follows for the purpose of settling and disposing of the Accusation filed on March 7, 2018, in this matter:

- 1. All issues which were to be contested and all evidence which was to be presented by Complainant and Respondent at a formal hearing on the Accusation, which hearing was to be held in accordance with the provisions of the Administrative Procedure Act (APA), shall instead and in place thereof be submitted solely on the basis of the provisions of this Stipulation and Agreement.
- Respondent has received, read, and understands the Statement to
   Respondent, the Discovery Provisions of the APA and the Accusation filed by the Department in this proceeding.

- 3. Respondent filed a Notice of Defense pursuant to Section 11505 of the Government Code for the purpose of requesting a hearing on the allegations in the Accusation. Respondent hereby freely and voluntarily withdraws said Notice of Defense. Respondent acknowledges that Respondent will thereby waive Respondent's right to require the Real Estate Commissioner (Commissioner) to prove the allegations in the Accusation at a contested hearing held in accordance with the provisions of the APA and that Respondent will waive other rights afforded to Respondent in connection with the hearing such as the right to present evidence in defense of the allegations in the Accusation and the right to cross-examine witnesses.
- 4. Respondent, pursuant to the limitations set forth below, hereby admits that the factual allegations in the Accusation filed in this proceeding are true and correct and the Commissioner shall not be required to provide further evidence to prove such allegations.
- 5. It is understood by the parties that the Commissioner may adopt the Stipulation and Agreement as his Decision and Order in this matter thereby imposing the penalty and sanctions on Respondent's real estate license and license rights as set forth in the below "Order". In the event that the Commissioner in his discretion does not adopt the Stipulation and Agreement, it shall be void and of no effect, and Respondent shall retain the right to a hearing and proceeding on the Accusation under all the provisions of the APA and shall not be bound by any admission or waiver made herein.
- 6. This Decision and Order or any subsequent Order of the Commissioner made pursuant to this Stipulation and Agreement shall not constitute an estoppel, merger or bar to any further administrative or civil proceedings by the Department with respect to any matters which were not specifically alleged in Accusation H-6652 SAC.

## **DETERMINATION OF ISSUES**

By reason of the foregoing stipulations, admissions, and waivers and solely for the purpose of settlement of the pending Accusation without hearing, it is stipulated and agreed that the following Determination of Issues shall be made:

 The acts and/or omissions of Respondent as described in the Accusation violate Sections 490, 10177(b), and 10186.2 of the Business and Professions Code (Code).

## <u>ORDER</u>

All licenses and licensing rights of Respondent ROBERT VINCENT BRENNAN under the Real Estate Law are revoked; provided, however, a restricted real estate salesperson license shall be issued to Respondent pursuant to Section 10156.5 of the Code if Respondent makes application therefor and pays to the Department the appropriate fee for the restricted license within 90 days from the effective date of this Decision and Order. The restricted license issued to Respondent shall be subject to all of the provisions of Section 10156.7 of the Code and to the following limitations, conditions and restrictions imposed under authority of Section 10156.6 of that Code:

- 1. The restricted license issued to Respondent may be suspended prior to hearing by Order of the Commissioner in the event of Respondent's conviction or plea of nolo contendere to a crime which is substantially related to Respondent's fitness or capacity as a real estate licensee.
- 2. The restricted license issued to Respondent may be suspended prior to hearing by Order of the Commissioner on evidence satisfactory to the Commissioner that Respondent has violated provisions of the California Real Estate Law, the Subdivided Lands Law, Regulations of the Real Estate Commissioner or conditions attaching to the restricted license.
- 3. Respondent shall not be eligible to apply for the issuance of an unrestricted real estate license nor for removal of any of the conditions, limitations or restrictions of a restricted license until four (4) years have elapsed from the effective date of this Decision and Order. Respondent shall not be eligible to apply for any unrestricted licenses until all restrictions attaching to the license have been removed.
- 4. Respondent shall submit with any application for license under an employing broker, or any application for transfer to a new employing broker, a statement signed

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by the prospective employing real estate broker on a form approved by the Department which shall certify:

- (a) That the employing broker has read the Decision and Order of the Commissioner which granted the right to a restricted license; and
- (b) That the employing broker will exercise close supervision over the performance by the restricted licensee relating to activities for which a real estate license is required.
- Respondent shall, within nine (9) months from the effective date of this Decision and Order, present evidence satisfactory to the Commissioner that Respondent has, since the most recent issuance of an original or renewal real estate license, taken and successfully completed the continuing education requirements of Article 2.5 of Chapter 3 of the Real Estate Law for renewal of a real estate license. If Respondent fails to satisfy this condition, Respondent's real estate license shall automatically be suspended until Respondent presents evidence satisfactory to the Commissioner of having taken and successfully completed the continuing education requirement.
- 6. Respondent shall, within six (6) months from the effective date of this Decision and Order, take and pass the Professional Responsibility Examination administered by the Department including the payment of the appropriate examination fee. If Respondent fails to satisfy this condition, Respondent's real estate license shall automatically be suspended until Respondent passes the examination.
- Respondent shall notify the Commissioner in writing within 72 hours of any arrest by sending a certified letter to the Commissioner at the Department of Real Estate, Post Office Box 137000, Sacramento, CA 95813-7000. The letter shall set forth the date of Respondent's arrest, the crime for which Respondent was arrested and the name and address of the arresting law enforcement agency. Respondent's failure to timely file written notice shall constitute an independent violation of the terms of the restricted license and shall be grounds for the suspension or revocation of that license.

3	DEPARTMENT OF REAL ESTATE
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5	I have read the Stipulation and Agreement, have discussed it with my counsel,
6	and its terms are understood by me and are agreeable and acceptable to me. I understand that I
7	am waiving rights given to me by the APA (including but not limited to Sections 11506,
8	11508, 11509, and 11513 of the Government Code), and I willingly, intelligently, and
9	voluntarily waive those rights, including the right of requiring the Commissioner to prove the
10	allegations in the Accusation at a hearing at which I would have the right to cross-examine
11	witnesses against me and to present evidence in defense and mitigation of the charges.
12	Respondent and Respondent's attorney further agree to send the original signed
13	Stipulation and Agreement by mail to the following address no later than one (1) week from the
14	date the Stipulation and Agreement is signed by Respondent and Respondent's attorney:
15	Department of Real Estate, Legal Section, P.O. Box 137007, Sacramento, California 95813-
16	7007. Respondent and Respondent's attorney understand and agree that if they fail to return the
17	original signed Stipulation and Agreement by the due date, Complainant retains the right to set
18	this matter for hearing.
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20	DATED POPERTURION BONEST UNION B
21	ROBERT VINCENT BRENNAN Respondent
22	I have reviewed the Stipulation and Agreement as to form and content and have
23	advised my client accordingly.
24	9-10-18 //04///
25	DATED POPULATION
26	ROBERT F. HAHN Attorney for Respondent
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The foregoing Stipulation and Agreement is hereby adopted by the Real Estate

Commissioner as his Decision and Order and shall become effective at 12 o'clock noon on

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IT IS SO ORDERED Detober 31, 2018

DANIEL J. SANDRI ACTING REAL ESTATE COMMISSIONER

David / Sand